

XXIV

SIMPOSIO DE REVISIONES EN CÁNCER

“Tratamiento médico del cáncer en el año 2022”

Inhibidores de CDK 4/6 Papel en la adyuvancia

Fernando Moreno
Hospital Clínico San Carlos



Outline

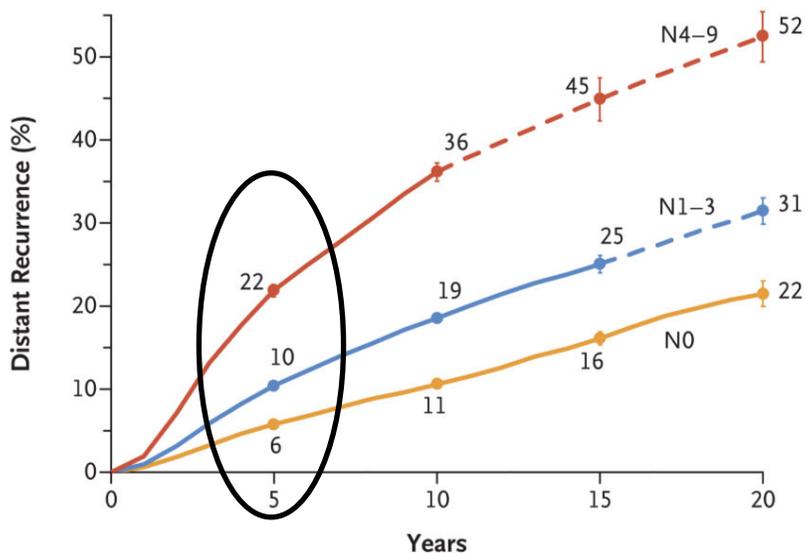
- Natural history of ER+ HER2- EBC
- Risk factors of recurrence
- Strategies to improve outcome in high-risk EBC
 - Prolongation of ET
 - Incorporation of other drugs to Anthras-Taxane based CT (ie, capecitabine)
 - m-TOR inhibitors
 - CDK 4/6 inhibitors

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20-Year Risks of Breast-Cancer Recurrence after Stopping Endocrine Therapy at 5 Years

A Risk of Distant Recurrence



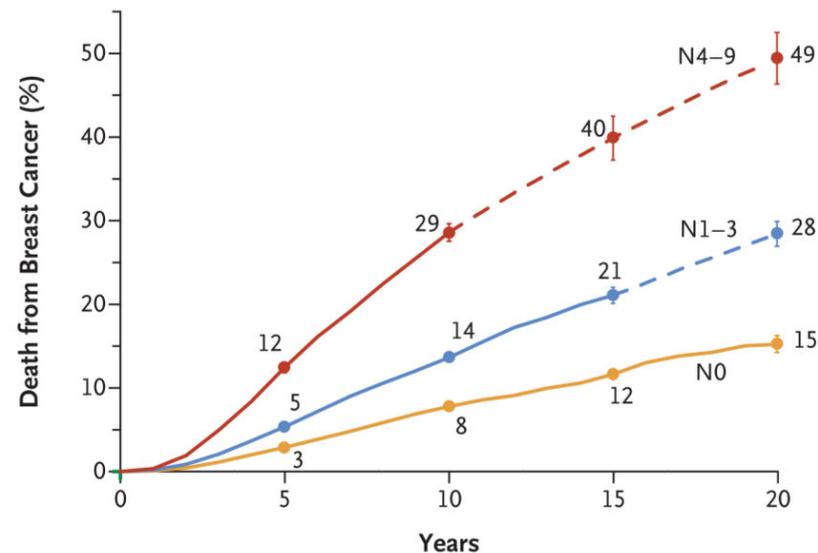
No. at Risk

N4-9	12,333	8,116	2165	259	52
N1-3	31,936	23,576	7250	949	183
N0	29,925	24,081	8571	1982	414

**No. of Events —
annual rate (%)**

N4-9	2568 (4.8)	969 (4.0)	121 (3.1)	13 (2.2)
N1-3	3126 (2.2)	1421 (1.9)	241 (1.7)	39 (1.8)
N0	1646 (1.2)	835 (1.1)	272 (1.3)	68 (1.4)

B Risk of Death from Breast Cancer



No. at Risk

N4-9	12,333	9,079	2481	294	57
N1-3	31,936	24,866	7728	1011	197
N0	29,925	24,819	8926	2144	476

**No. of Events —
annual rate (%)**

N4-9	1463 (2.6)	1154 (4.1)	185 (3.7)	20 (2.3)
N1-3	1600 (1.1)	1506 (1.9)	319 (1.9)	52 (1.8)
N0	826 (0.6)	890 (1.0)	228 (0.8)	77 (1.0)

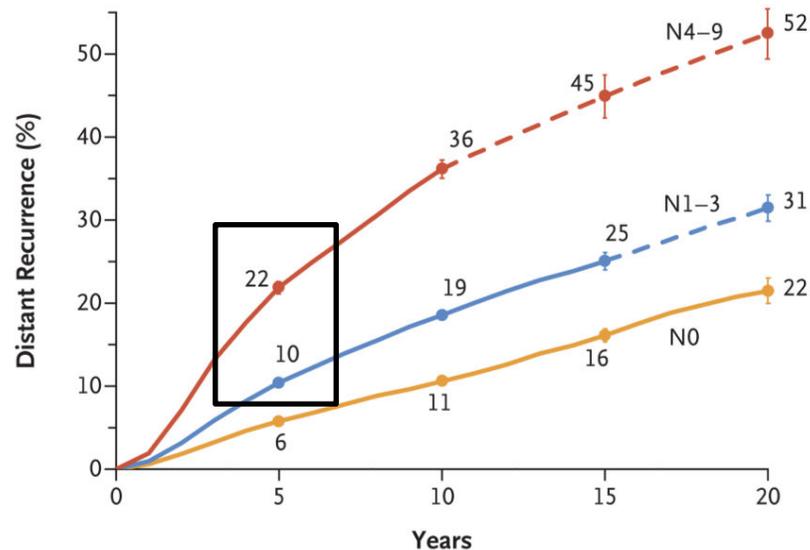
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20-Year Risks of Breast-Cancer Recurrence after Stopping Endocrine Therapy at 5 Years

The risk of distant recurrence was strongly correlated with the original nodal status

A Risk of Distant Recurrence



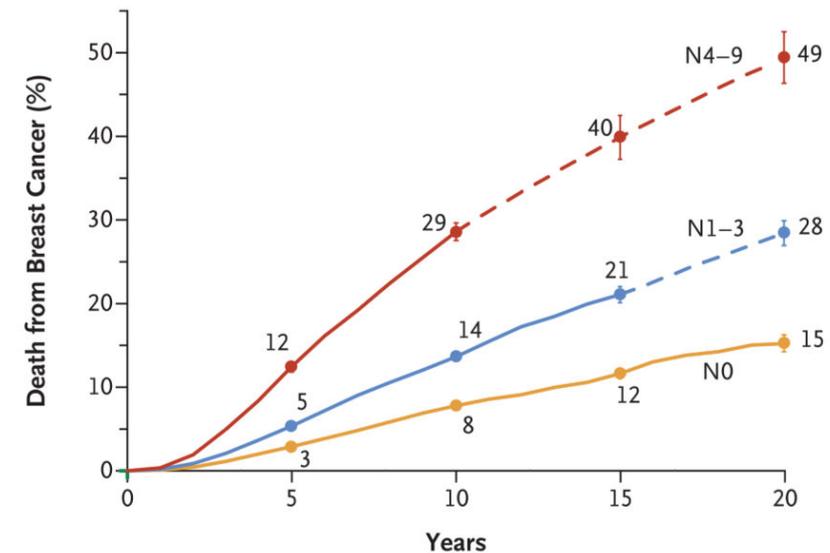
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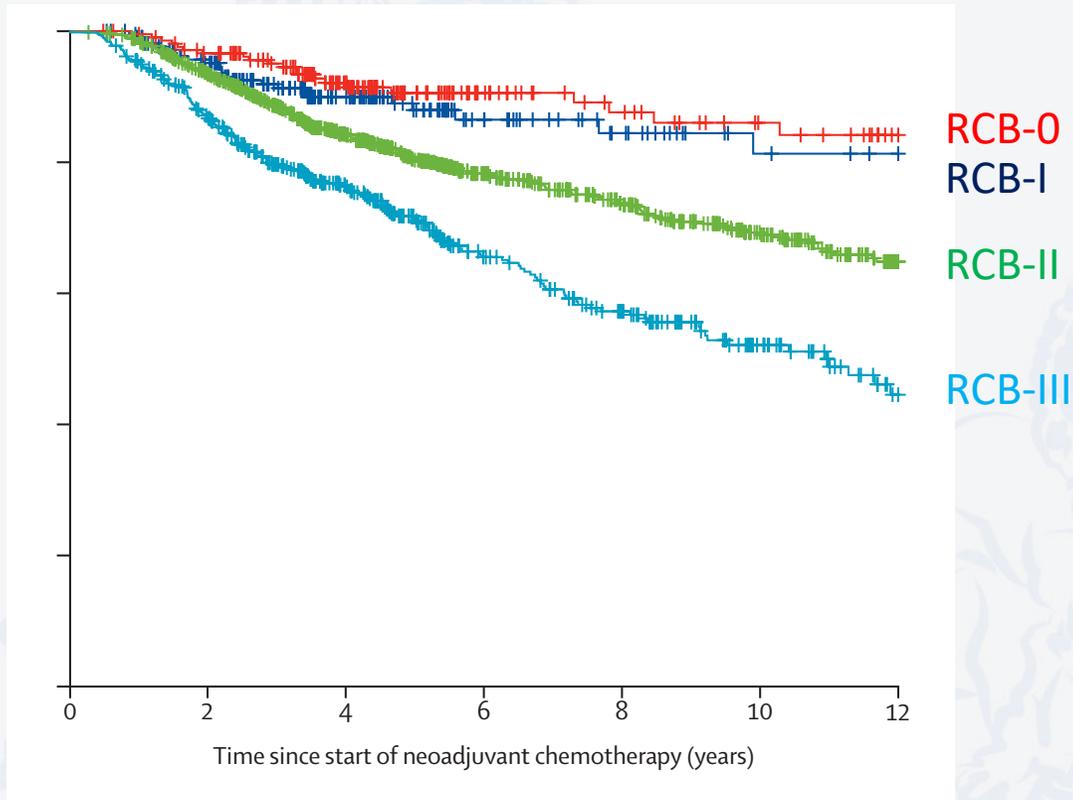
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Event Free Survival (EFS) by Residual Cancer Burden (RCB) in HR + HER2 – Breast Cancer

THE LANCET **Oncology**

Residual cancer burden after neoadjuvant chemotherapy and long-term survival outcomes in breast cancer: a multicentre pooled analysis of 5161 patients

EFS by RCB in HR + HER2 – BC (n=1957)

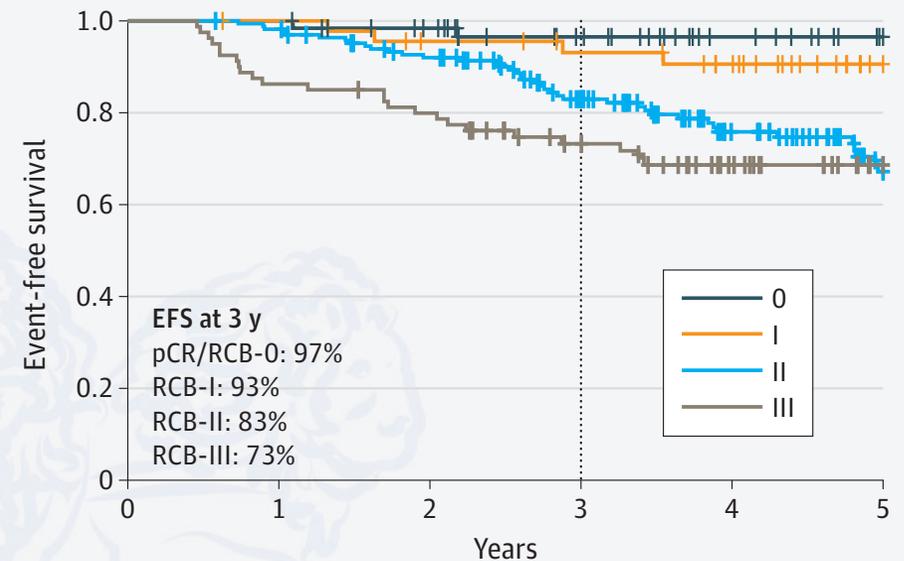


Yau C, Lancet Oncol 2021

JAMA Oncology | **Original Investigation**

Assessment of Residual Cancer Burden and Event-Free Survival in Neoadjuvant Treatment for High-risk Breast Cancer
An Analysis of Data From the I-SPY2 Randomized Clinical Trial

EFS by RCB in HR + HER2 – BC

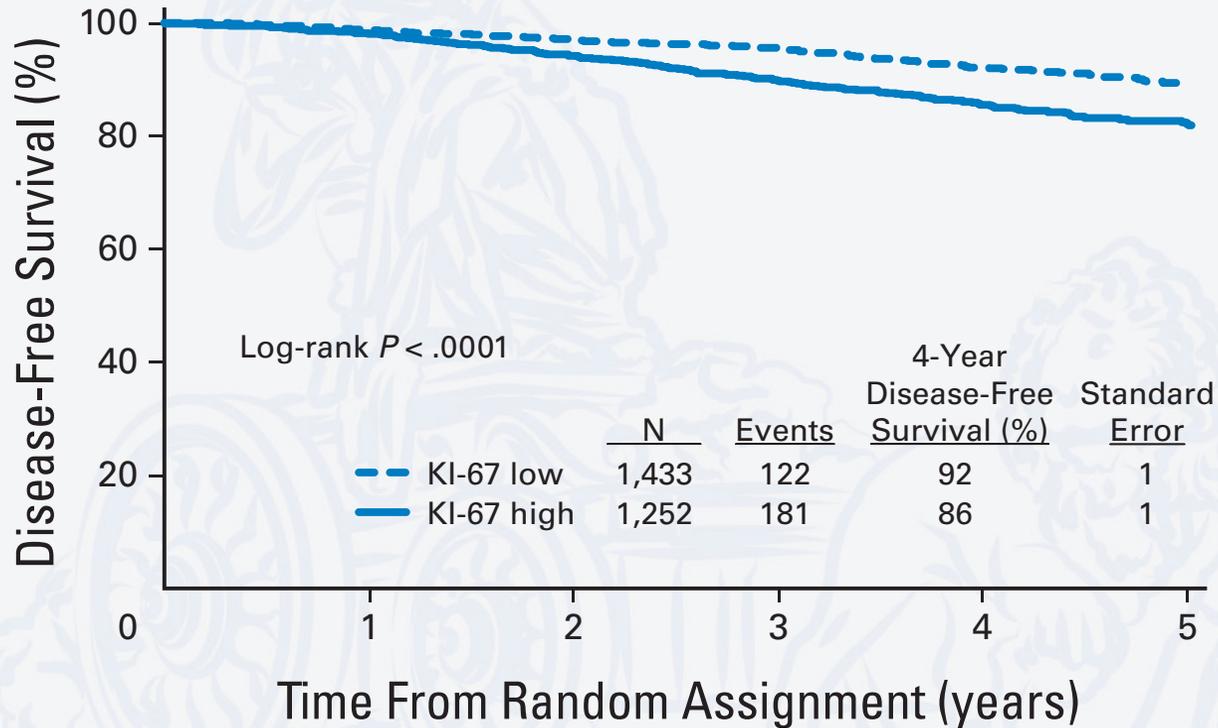


No. at risk	0	1	2	3	4	5
0	64	64	57	45	33	24
I	46	45	41	38	33	18
II	167	163	146	112	72	41
III	80	69	63	49	34	20

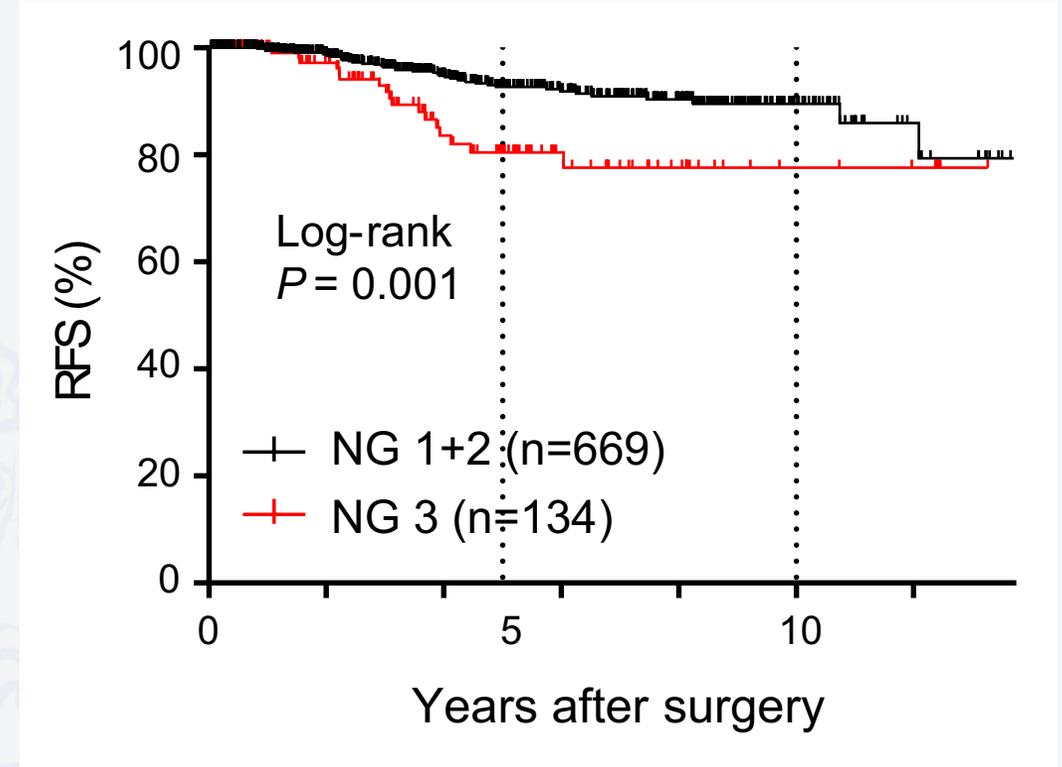
W. Fraser Symmans. JAMA Oncol 2021

Pathologic prognostic factors in Early Breast Cancer

DFS by central Ki-67 expression levels

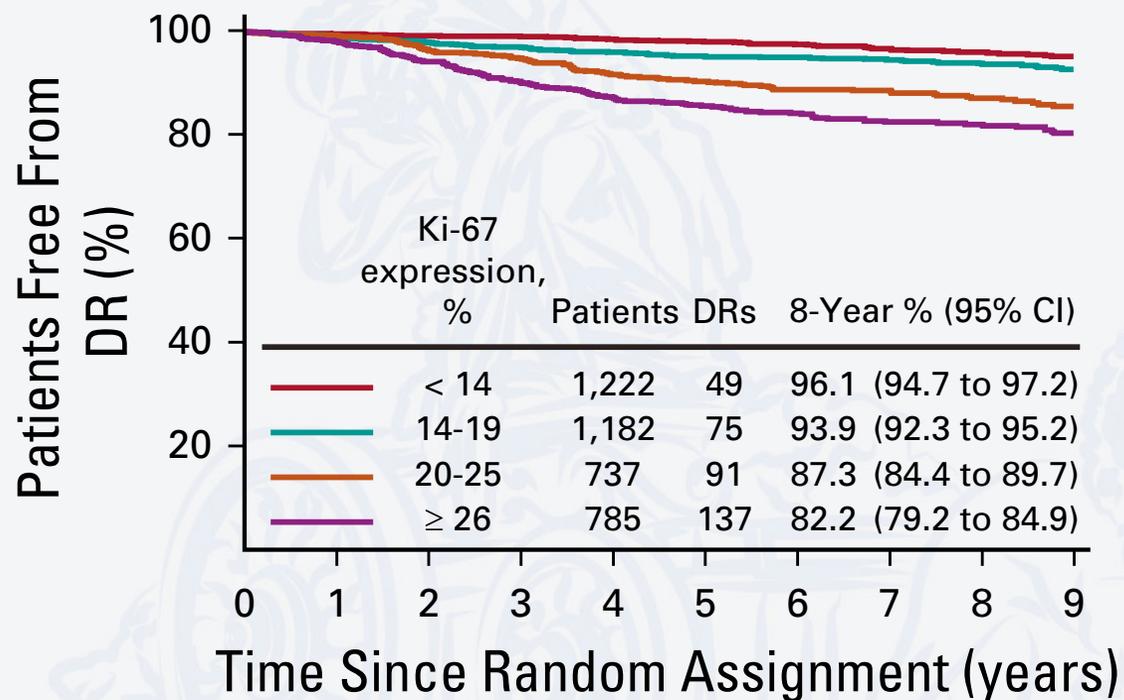


RFS by nuclear grade

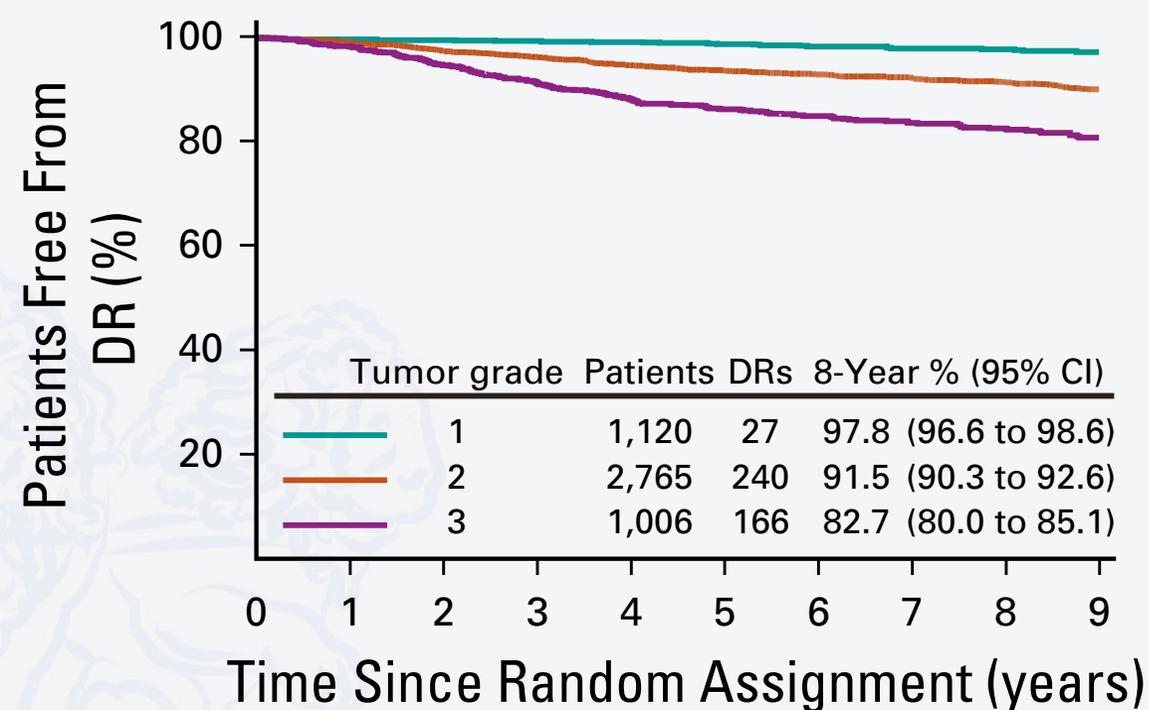


Pathologic prognostic factors in Early Breast Cancer

Distant Recurrence by Ki-67 expression levels



Distant Recurrence by grade



Combined Use of Clinical and Pathologic Staging Variables to Define Outcomes for Breast Cancer Patients Treated With Neoadjuvant Therapy



Clinical Stage

[Clinical Staging for Breast Cancer](#)

TNM Stage

Pathologic Stage

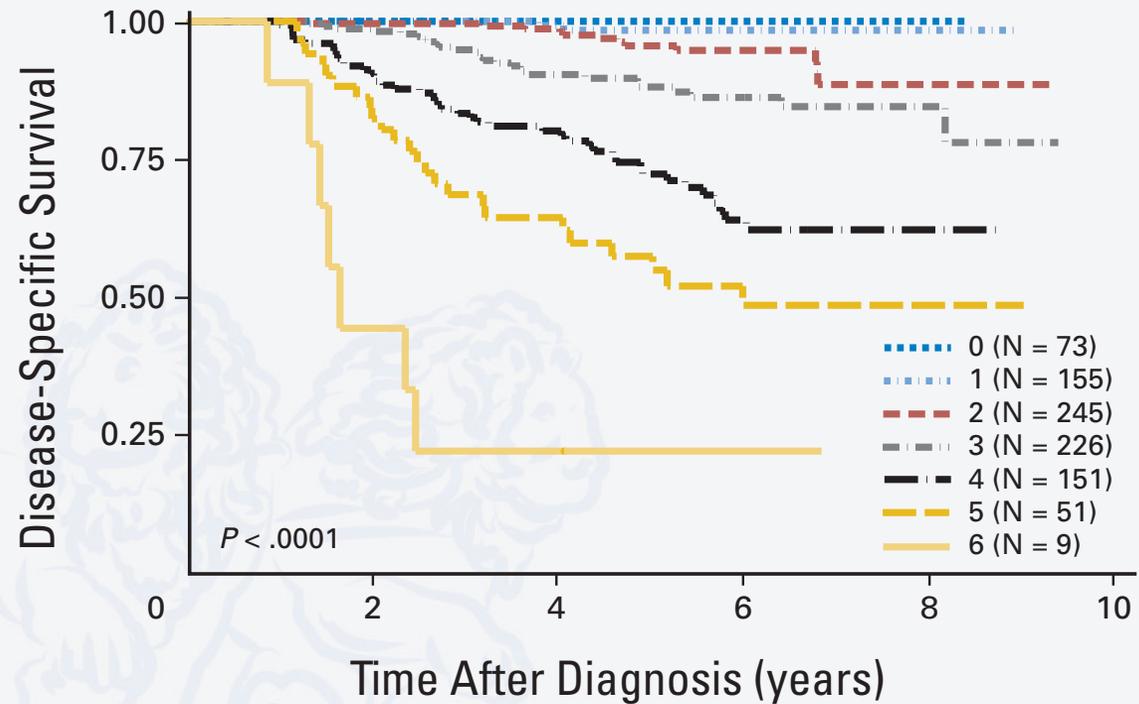
[Pathologic Staging for Breast Cancer](#)

TNM Stage

Estrogen Receptor Status

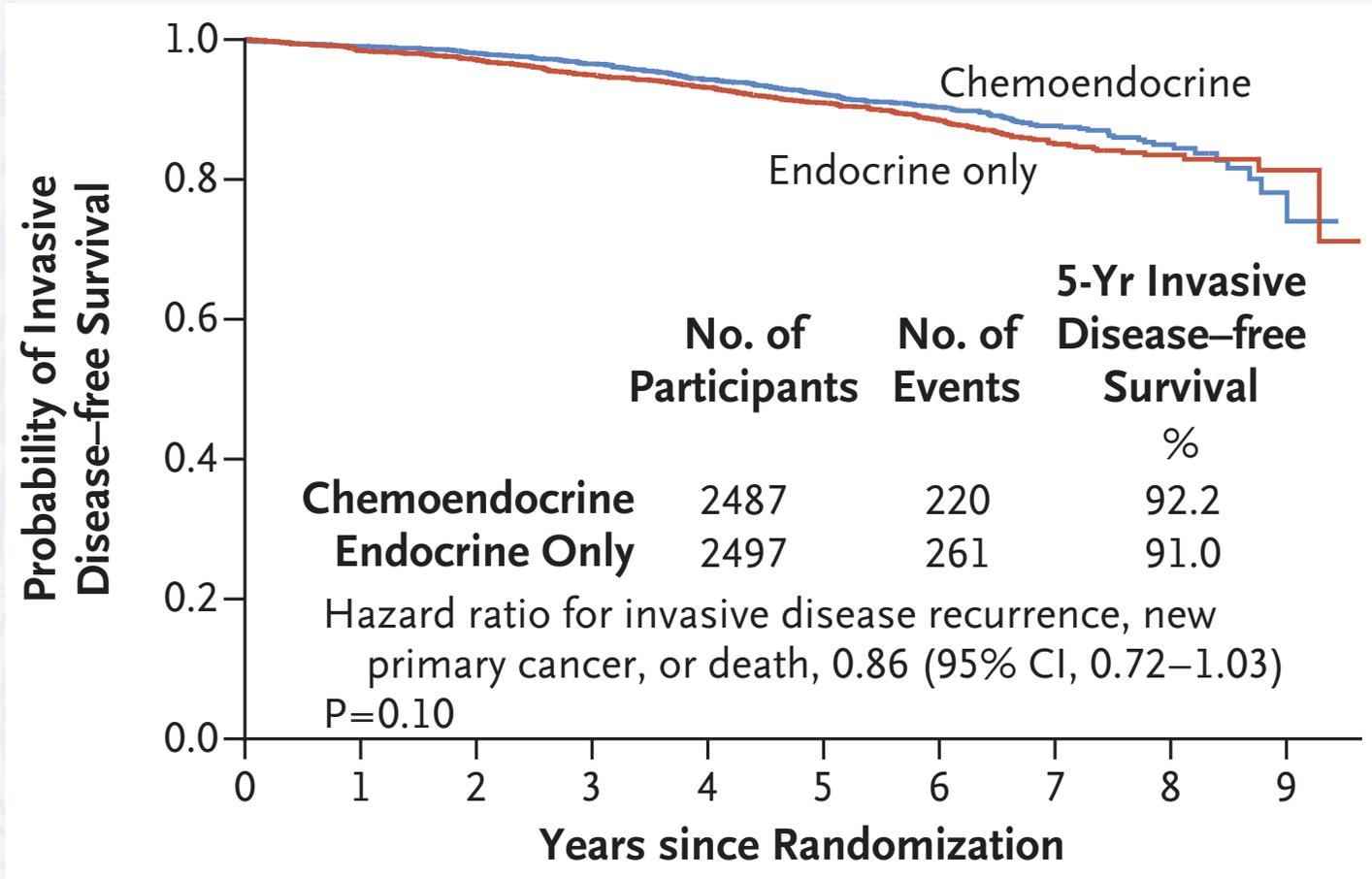
Nuclear Grade

DFS based on CPS + EG score



Genomic Signatures

IDFS in patients with 1-3 LN and RS ≤ 25 (all patients) RxPONDER Trial



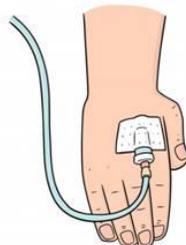
Risk factors of recurrence

Definition of high risk is critical in the success of escalation clinical trials

Standard
Clinical &
Pathologic
al features



Response to
neoadjuvant
therapy



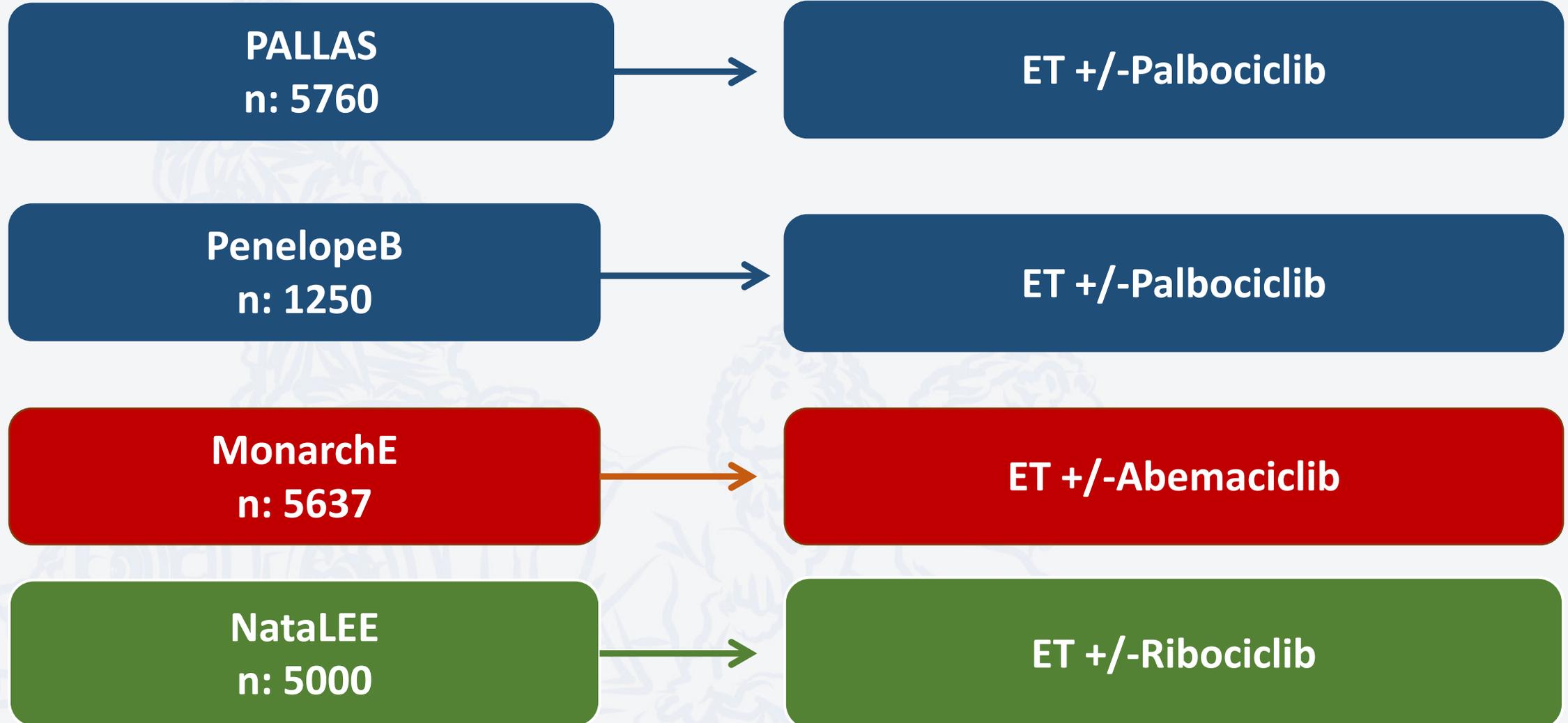
Gene
Expression
Signatures



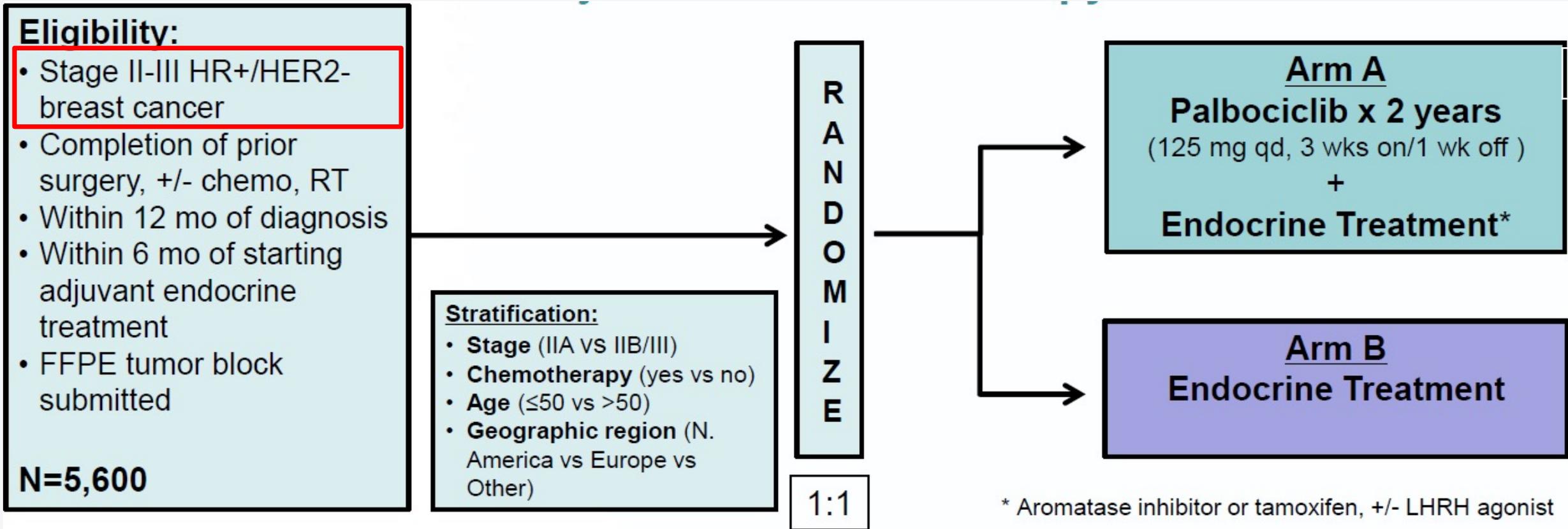
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 - **CDK 4/6 inhibitors**

iCDK 4/6 Adjuvant Trials



Palbociclib with adjuvant endocrine therapy in EBC (PALLAS)



Primary Endpoint: invasive Disease-Free Survival (iDFS)

Target HR: 0.75; 5,600 patients provides 85% power, with a 1-sided $\alpha=0.025$

First IA (197 event) Second IA (313 event) Final A 469 event

PALLAS: patients characteristic

- The majority had higher stage disease and had received prior chemotherapy.
- **58.7% had high clinical risk disease, described as:**
 - **≥ 4 nodes involved ($\geq N2$), or**
 - **1-3 nodes with either T3/T4 and/or grade 3 disease.**

Variable	Palbociclib + ET (N=2,883)	ET (N=2,877)
Age (y) – median (range)	52 (25 – 90)	52 (22 – 85)
Stage		
IIA	504 (17.5%)	509 (17.7%)
IIB	968 (33.6%)	951 (33.1%)
III	1402 (48.6%)	1408 (48.9%)
T-Stage		
T0/T1/Tis/TX	557 (19.3%)	500 (17.4%)
T2	1603 (55.6%)	1636 (56.9%)
T3/T4	722 (25.0%)	741 (25.8%)
N-Stage		
N0	367 (12.7%)	383 (13.3%)
N1	1427 (49.5%)	1415 (49.2%)
N2	703 (24.4%)	709 (24.6%)
N3	385 (13.4%)	370 (12.9%)
Histologic Grade		
G1	300 (10.4%)	313 (10.9%)
G2	1622 (56.3%)	1658 (57.6%)
G3	836 (29.0%)	767 (26.7%)
Prior Chemotherapy	2384 (82.7%)	2370 (82.4%)
Initial Adjuvant Endocrine Therapy		
Aromatase inhibitor	1954 (67.8%)	1918 (66.7%)
Tamoxifen	923 (32.0%)	949 (33.0%)
Concurrent Adjuvant LHRH Agonist	532 (18.5%)	604 (21.1%)

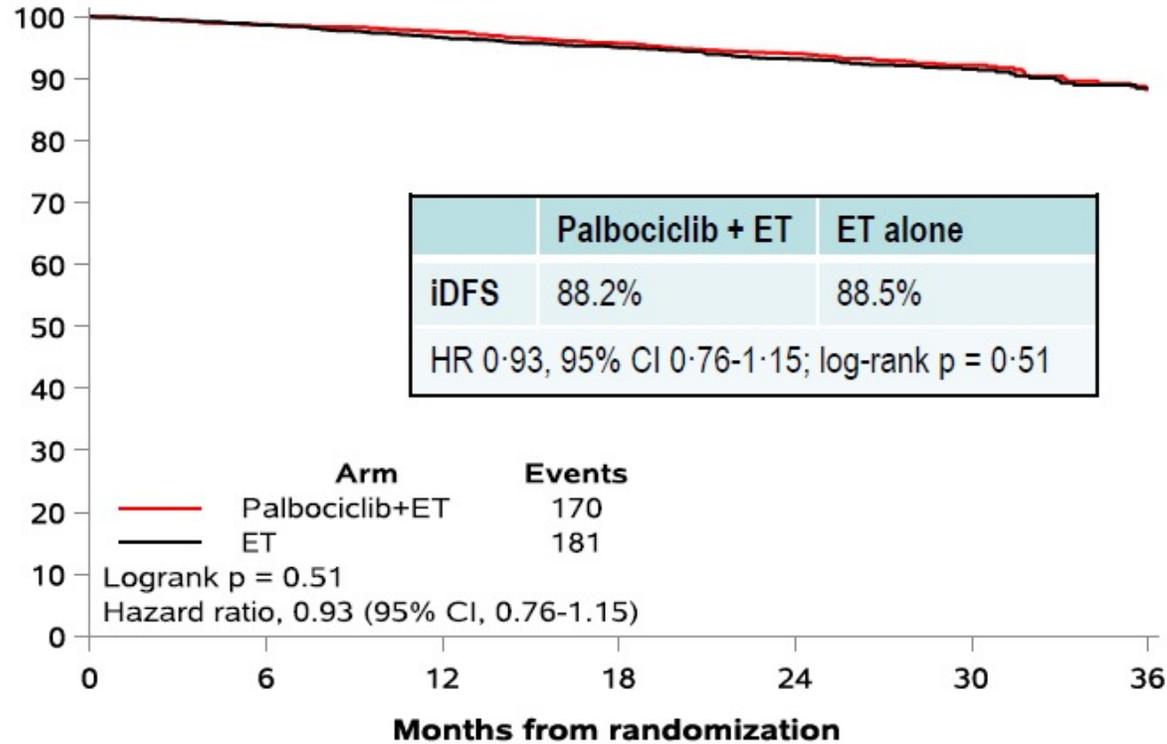
PALLAS: safety and tolerability

Adverse Events, incidence \geq 15%						
Adverse Event	Palbociclib + ET (N=2,840)			ET (N=2,903)		
	All Grades	Grade 3	Grade 4	All Grades	Grade 3	Grade 4
Any adverse event	2822 (99.4%)	1897 (66.8%)	159 (5.6%)	2571 (88.6%)	400 (13.8%)	24 (0.8%)
Neutropenia	2354 (82.9%)	1620 (57.0%)	122 (4.3%)	139 (4.8%)	11 (0.4%)	0
Leukopenia	1550 (54.6%)	843 (29.7%)	14 (0.5%)	213 (7.3%)	3 (0.1%)	0
Fatigue	1150 (40.5%)	60 (2.1%)	0	546 (18.8%)	10 (0.3%)	0
Arthralgia	992 (34.9%)	30 (1.1%)	0	1207 (41.6%)	31 (1.1%)	0
Upper respiratory tract infection	805 (28.3%)	32 (1.1%)	0	453 (15.6%)	3 (0.1%)	0
Hot flush	693 (24.4%)	7 (0.2%)	0	838 (28.9%)	7 (0.2%)	0
Anaemia	664 (23.4%)	13 (0.5%)	0	157 (5.4%)	4 (0.1%)	0
Thrombocytopenia	609 (21.4%)	25 (0.9%)	1 (0.0%)	49 (1.7%)	1 (0.0%)	0
Nausea	543 (19.1%)	8 (0.3%)	0	240 (8.3%)	4 (0.1%)	0
Alopecia	496 (17.5%)	0	0	144 (5.0%)	0	0
Diarrhoea	468 (16.5%)	21 (0.7%)	0	145 (5.0%)	5 (0.2%)	0
Headache	435 (15.3%)	7 (0.2%)	0	322 (11.1%)	7 (0.2%)	0

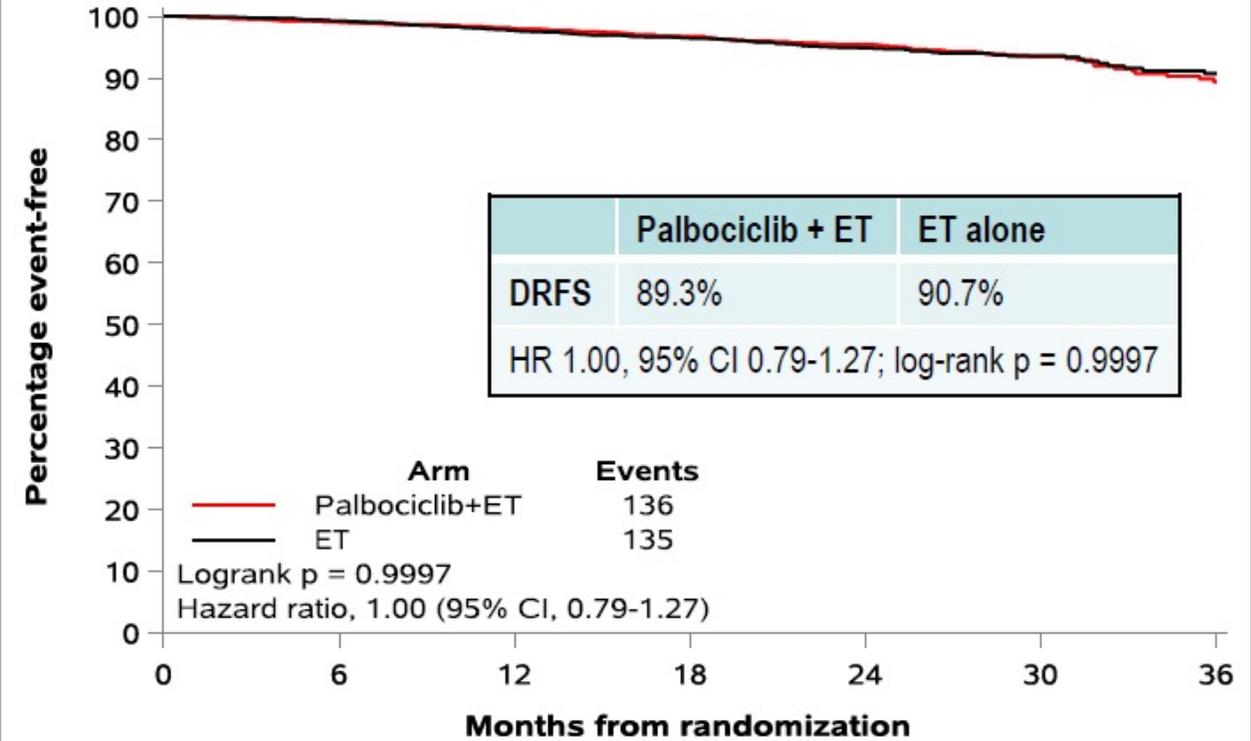
42.2% had discontinue prematurely (due AE 64.2%)

PALLAS: Primary Endpoint iDFS

iDFS



DRFS



At a median follow-up of 23.7 months, no significant difference in either 3-year iDFS or DRFS was observed

PALLAS: Conclusions

- The addition of **palbociclib** to adjuvant ET **did not prolong** iDFS compared to ET alone in patients with stage II-III HR+/HER2-

Palbociclib and adjuvant ET for high-risk HR+/HER2- EBC after neoadjuvant Chemo (PENELOPE-B)

N=1250

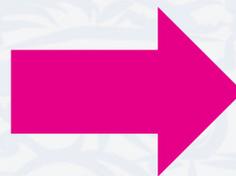
- HR+/HER2- breast cancer
- no pCR after NACT
- CPS-EG score ≥ 3 or ≥ 2 with ypN+

Primary Endpoint: iDFS

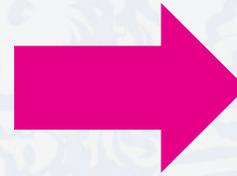
Stratification factors

- Nodal status: ypN 0-1 vs ypN2-3
- Age: ≤ 50 vs > 50 yrs
- Ki-67: $> 15\%$ vs $\leq 15\%$
- Region: Asian vs non Asian
- **CPS-EG Score: ≥ 3 vs 2 and ypN+**

Neoadjuvant
Chemotherapy



Surgery +/-
Radiotherapy



R
1:1



Palbociclib

125 mg once daily p.o.
d1-21, q28d for 13 cycles

Placebo

d1-21, q28d for 13 cycles

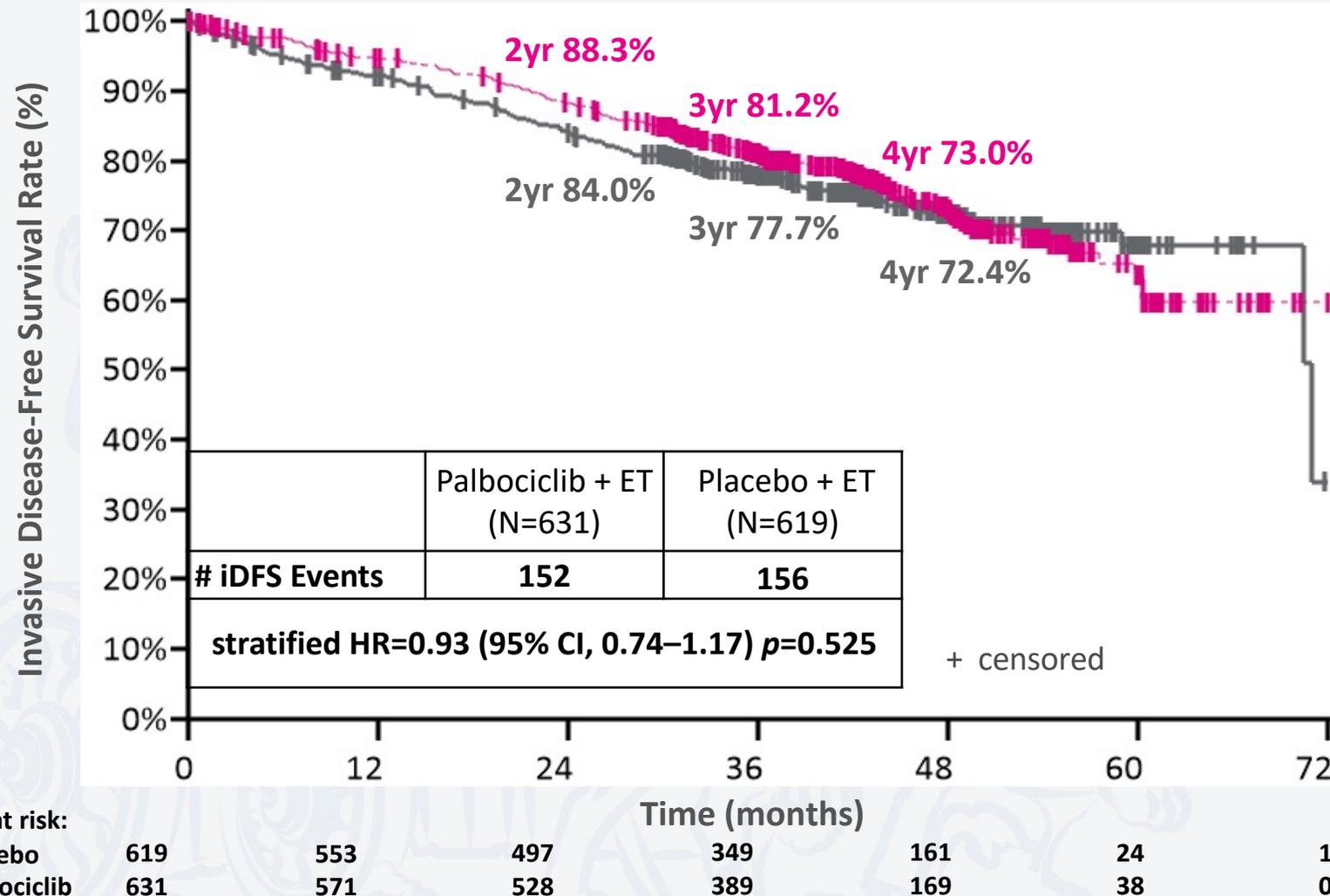
Target HR: 0.685; 85% power, with a 2-sided $\alpha=0.05$

First IA (290 event) Second IA (194 event) Final level 0.0463

Penelope-B: Mainline characteristics

Parameter	Category	Palbociclib (N=631) N (%*)	Placebo (N=619) N (%*)	Overall (N=1250) N (%*)
Age	median (range)	49 (22.76)	48 (19.79)	49 (19.79)
Age, years	≤50	353 (55.9)	348 (56.2)	701 (56.1)
Histological lymph node status at surgery	ypN 0-1	310 (49.1)	310 (50.1)	620 (49.6)
	ypN 2-3	321 (50.9)	309 (49.9)	630 (50.4)
Ki-67%, central pathology	>15%	161 (25.5)	158 (25.5)	319 (25.5)
CPS-EG score	2 and ypN+	253 (40.1)	255 (41.2)	508 (40.6)
	≥3	378 (59.9)	364 (58.8)	742 (59.4)
Tumor stage at surgery	ypT0-1	238 (37.7)	208 (33.7)	446 (35.7)
	ypT2-3	368 (58.3)	389 (62.9)	757 (60.6)
	ypT4	25 (4.0)	21 (3.4)	46 (3.7)
Histological type	lobular	58 (9.2)	52 (8.5)	110 (8.8)
Grading	G3	294 (46.7)	297 (48.1)	591 (47.4)
Ovarian ablation		108 (17.1)	113 (18.3)	221 (17.7)
Endocrine therapy Tamoxifen	overall	314 (49.8)	308 (49.8)	622 (49.8)

Penelope-B: Primary Endpoint iDFS



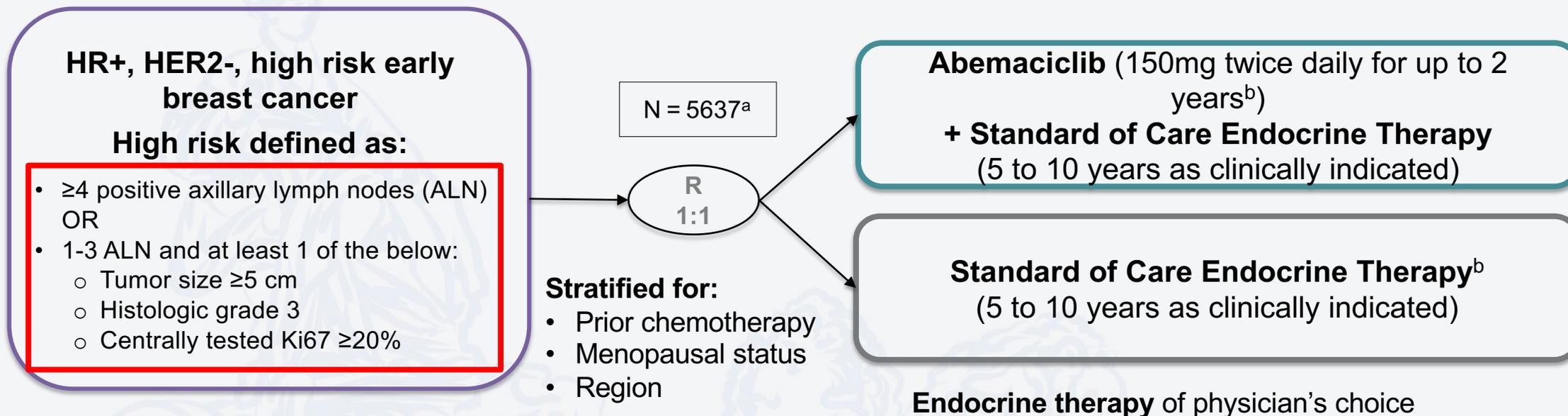
Median Follow-Up
42.8 Months

19.5% had discontinue prematurely

Penelope-B: Conclusion

- The addition of **palbociclib** to adjuvant ET **did not improve** iDFS compared to ET alone in patients HR+, HER2- EBC at high-risk of relapse after NACT

Abemaciclib with adjuvant ET for HR+/HER2- Node+, high-risk EBC (monarchE)



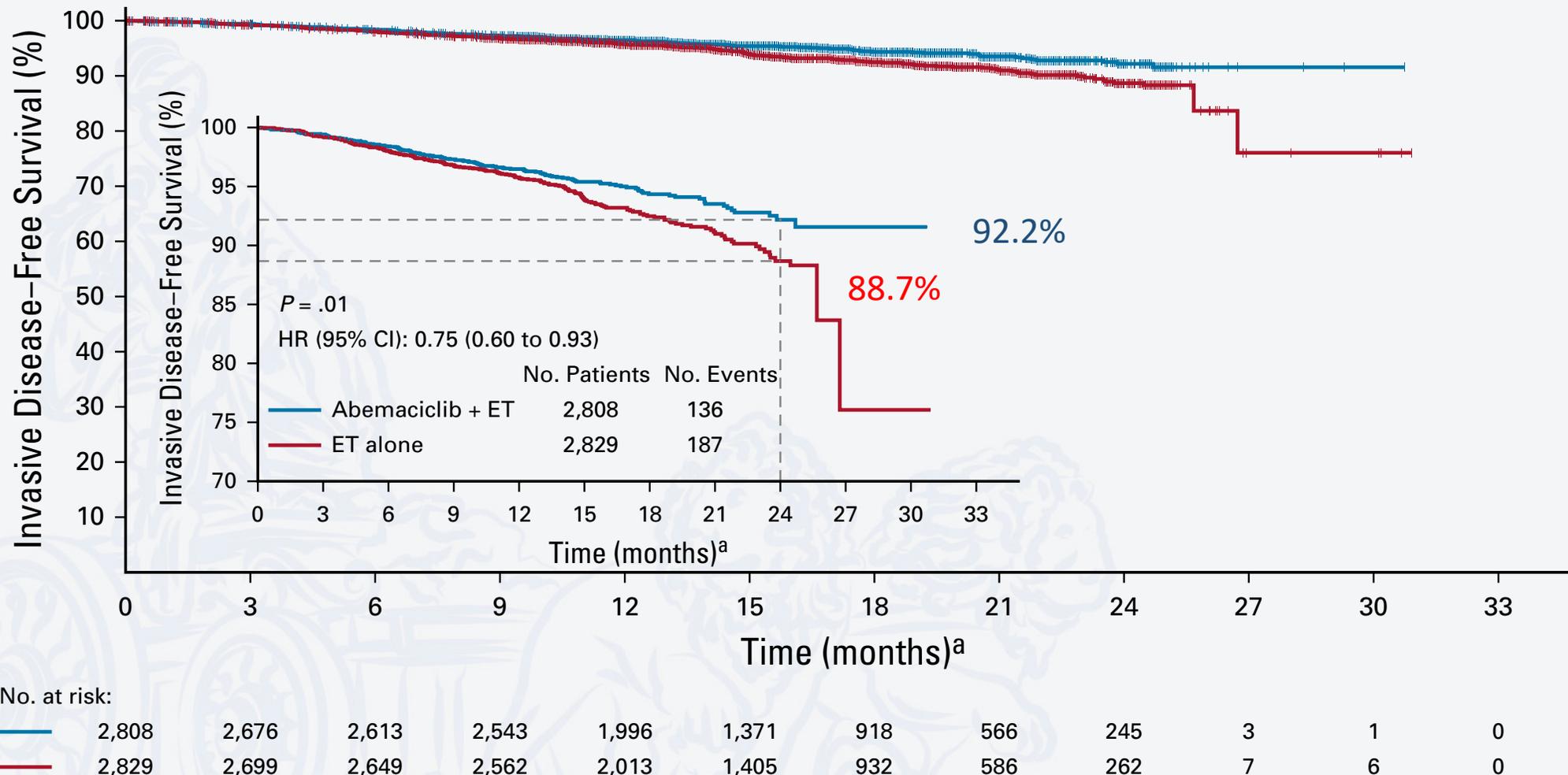
Primary Objective: Invasive disease-free survival (STEEP criteria)
Key Secondary Objectives: Distant relapse-free survival, Overall survival, Safety, Patient reported outcomes, and Pharmacokinetics

Target HR: 0.73; 85% power, with a 2-sided $\alpha=0.05$; 390 events for primary analysis
First IA (323 iDFS, positive study if $p<0.264$)

monarchE: Patients Characteristics

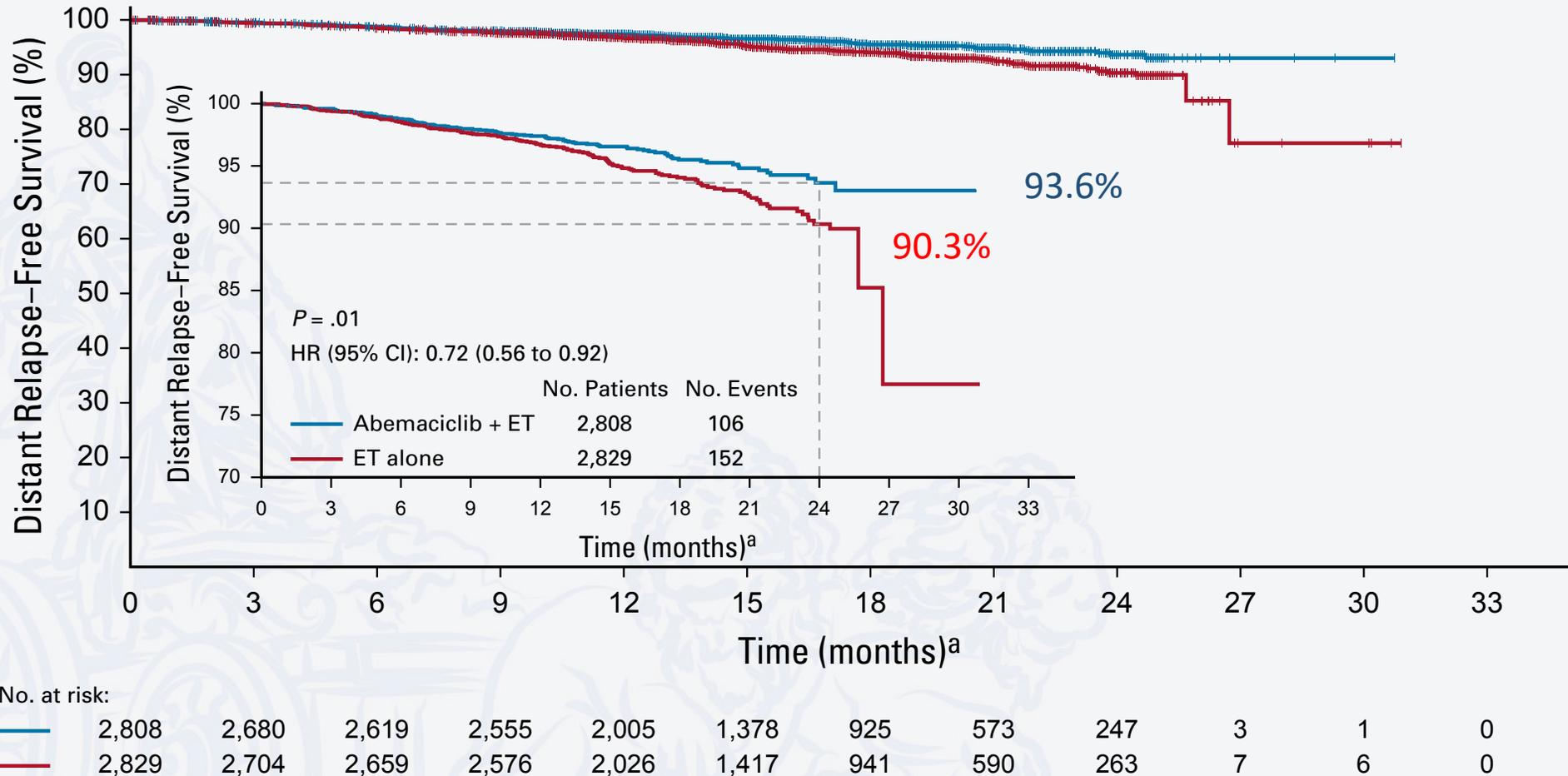
		Abemaciclib + ET N=2808, %	ET Alone N=2829, %
Age	Median (range)	51 (23-89)	51 (22-86)
Age categories	<65 years	84.4	85.4
Gender	Female	99.3	99.5
Menopausal Status¹	Premenopausal	43.5	43.5
	Postmenopausal	56.5	56.5
Prior Chemotherapy¹	Neoadjuvant	37.0	37.0
	Adjuvant	58.5	58.2
	None	4.5	4.7
Baseline ECOG PS	0	85.7	83.8
Pathologic Tumor Size	<2 cm	27.8	27.1
	2 - 5 cm	48.9	50.2
	≥5 cm	21.6	21.6
Number of Positive Lymph Nodes	1-3	39.8	40.4
	≥4	59.9	59.6
Histological Grade	Grade 1	7.4	7.6
	Grade 2	49.0	49.3
	Grade 3	38.7	37.6
Central Ki-67	<20%	33.9	34.4
	≥20%	44.9	43.6
	Unavailable	21.1	21.8

monarch-E: Primary Endpoint iDFS



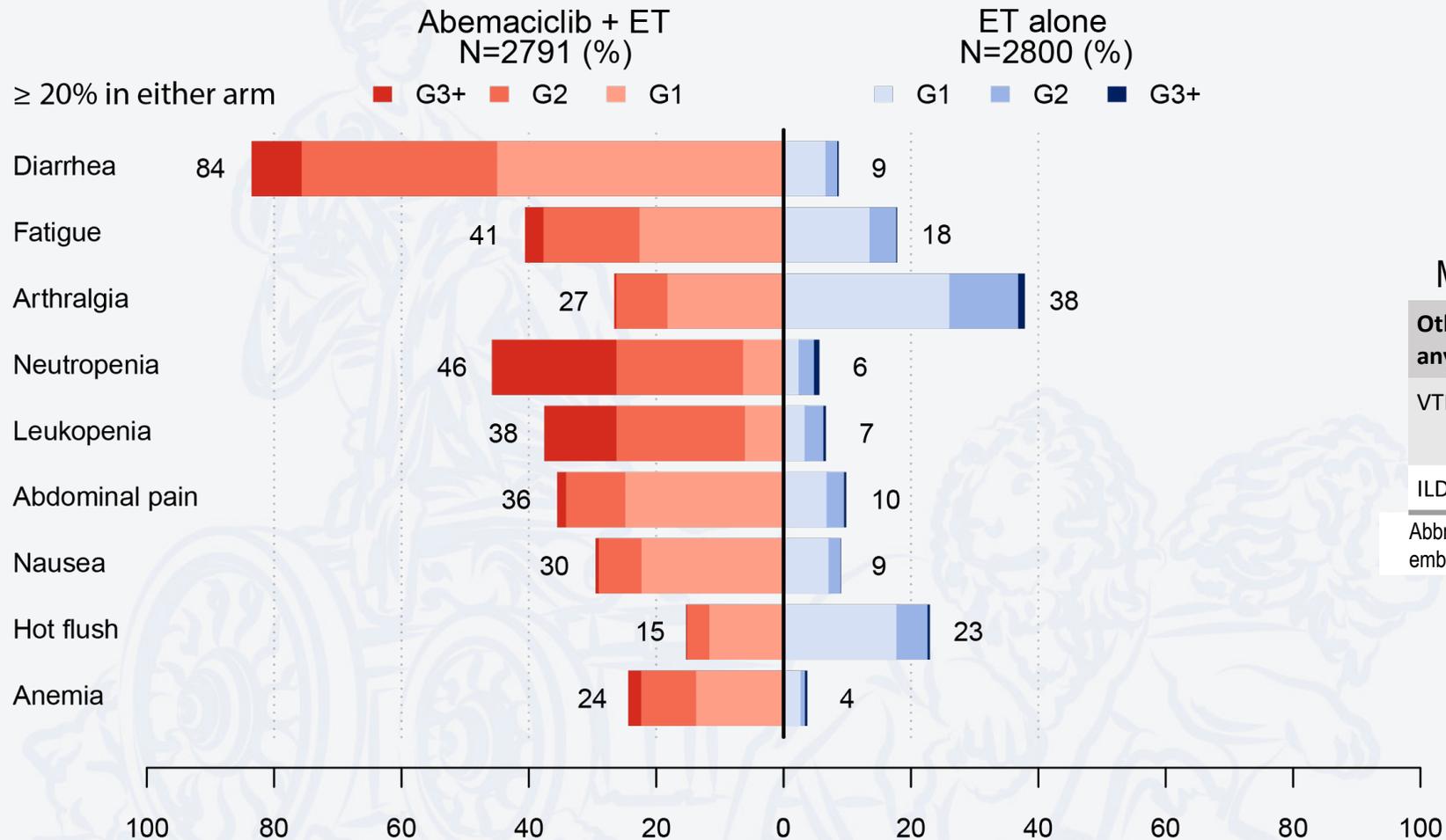
Two-year IDFS rates were 92.2% (abemaciclib + ET arm) and 88.7% (ET arm): 3.5 absolute difference

monarchE: Distant Relapse-Free Survival



Two-year DRFS rates were 93.6% (abemaciclib + ET arm) and 90.3% (ET arm): 3.3% absolute difference DRFS benefit consistent across all prespecified subgroups

monarchE: Treatment Emergent Adverse Events



Median duration of abemaciclib: 23.7 months

Other events of interest, any grade	Abemaciclib + ET N = 2791, %	ET Alone N = 2800, %
VTE	2.5	0.6
PE	1.0	0.1
ILD	3.2	1.3

Abbreviations: VTE = venous thromboembolic event; PE = pulmonary embolism; ILD = Interstitial lung disease

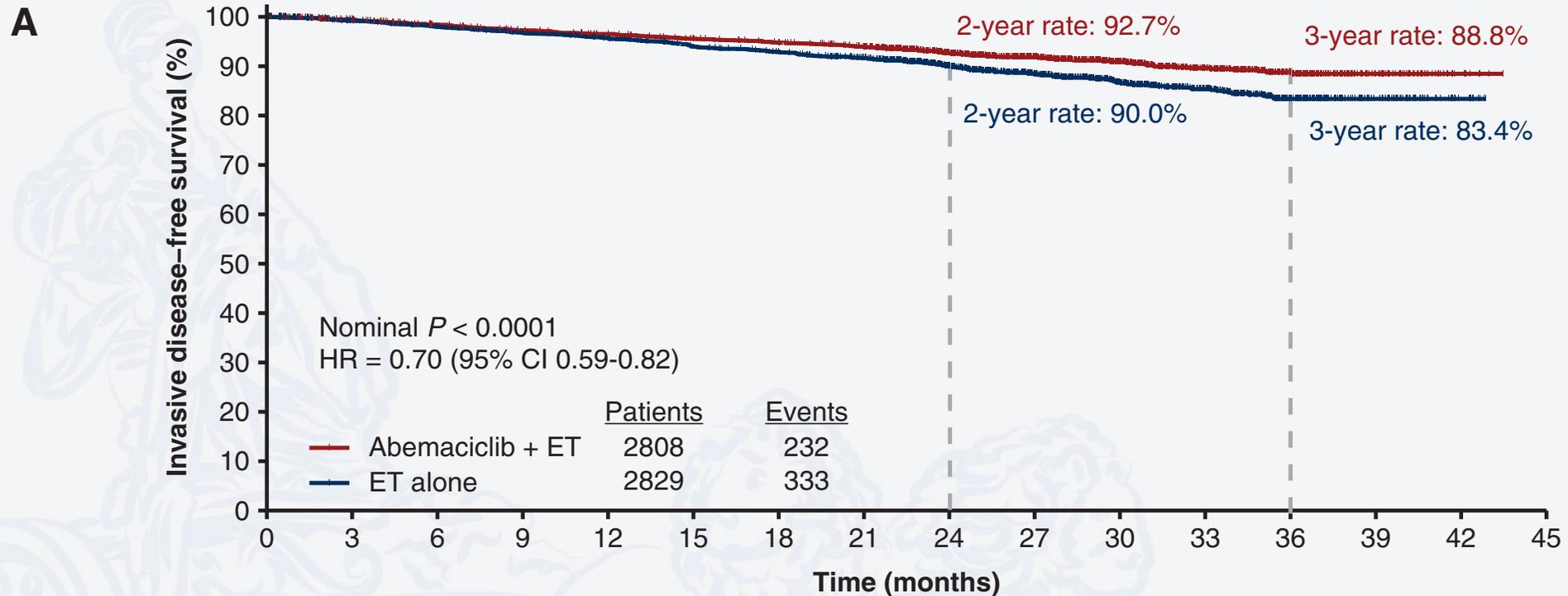
monarchE: Conclusions

- **Abemaciclib + adjuvant ET** demonstrated clinically meaningful **improvement in IDFS & DRFS** in HR+/HER2- eBC



An additional analysis was conducted in response to regulators, with a data cut-off date on April 1, 2021, at which point most patients had discontinued or completed the study treatment period.

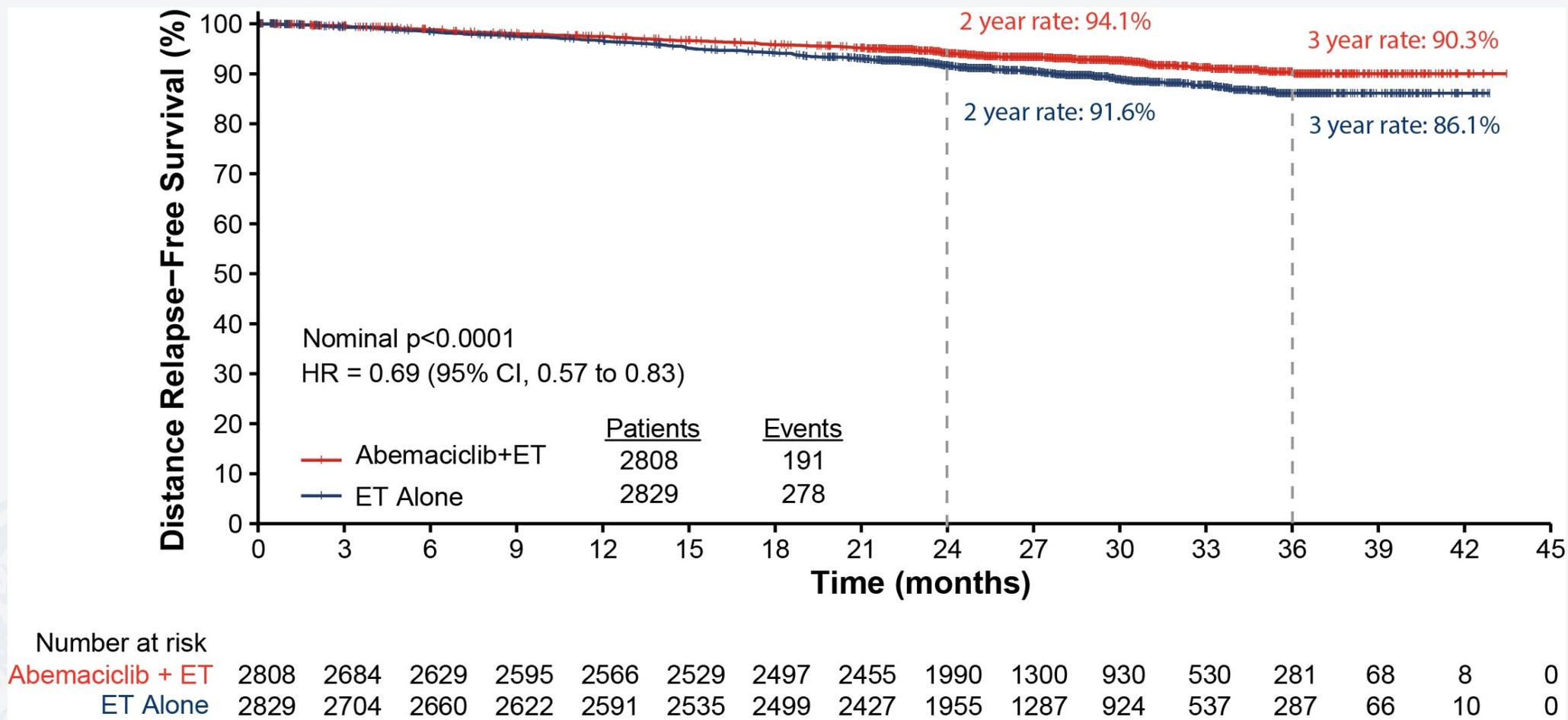
IDFS Benefit Maintained with 27m Follow-up



Number at risk	0	3	6	9	12	15	18	21	24	27	30	33	36	39	42	45
Abemaciclib + ET	2808	2680	2621	2579	2547	2508	2477	2430	1970	1287	919	522	275	67	8	0
ET alone	2829	2700	2652	2608	2572	2513	2472	2400	1930	1261	906	528	281	64	10	0

30.4% reduction in the risk of developing an IDFS event.
The absolute difference in IDFS rates between arms was 5.4% at 3 years.

DRFS Benefit Maintained with 27m Follow-up

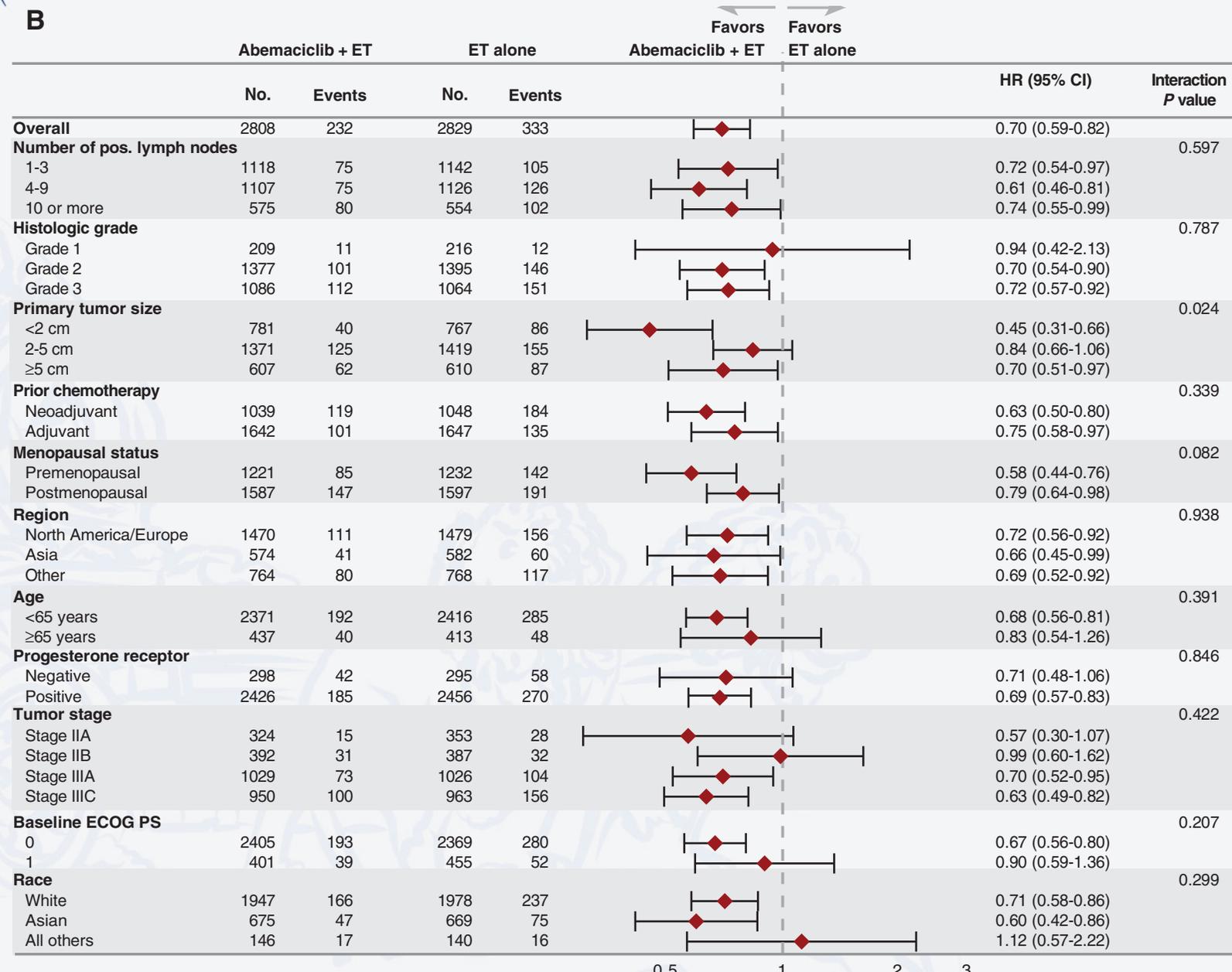


31.3% reduction in the risk of developing a DRFS event.

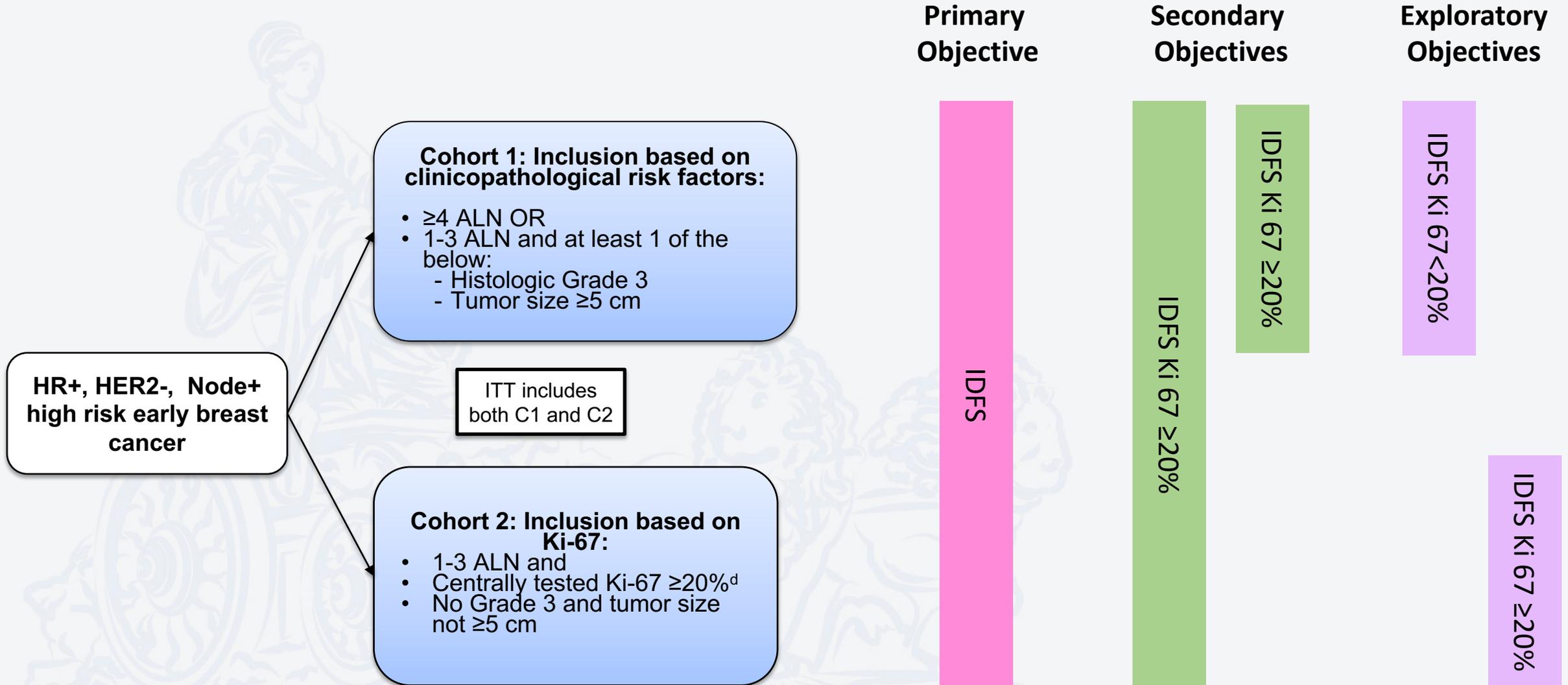
The absolute difference in DRFS rates between arms was 4.2% at 3 years.

Consistent IDFS treatment benefit in prespecified subgroups

B

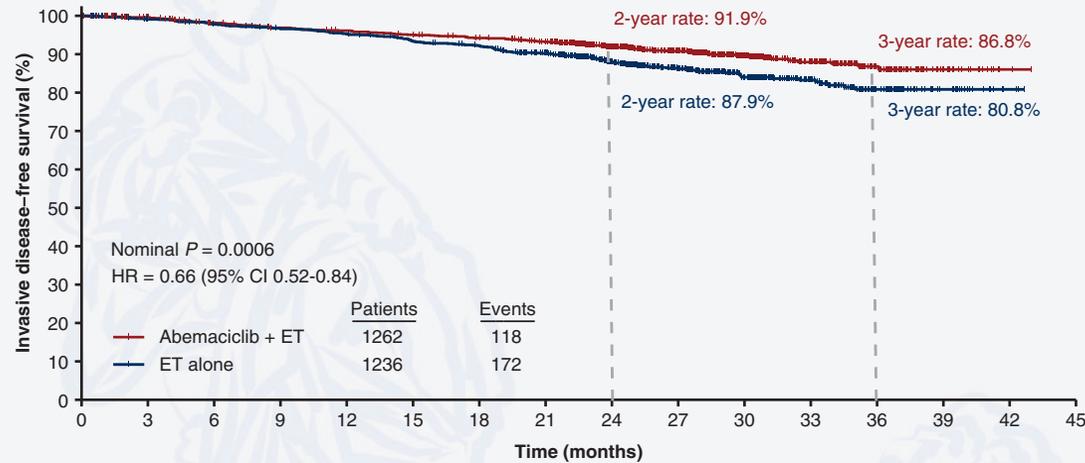


monarchE Study Design

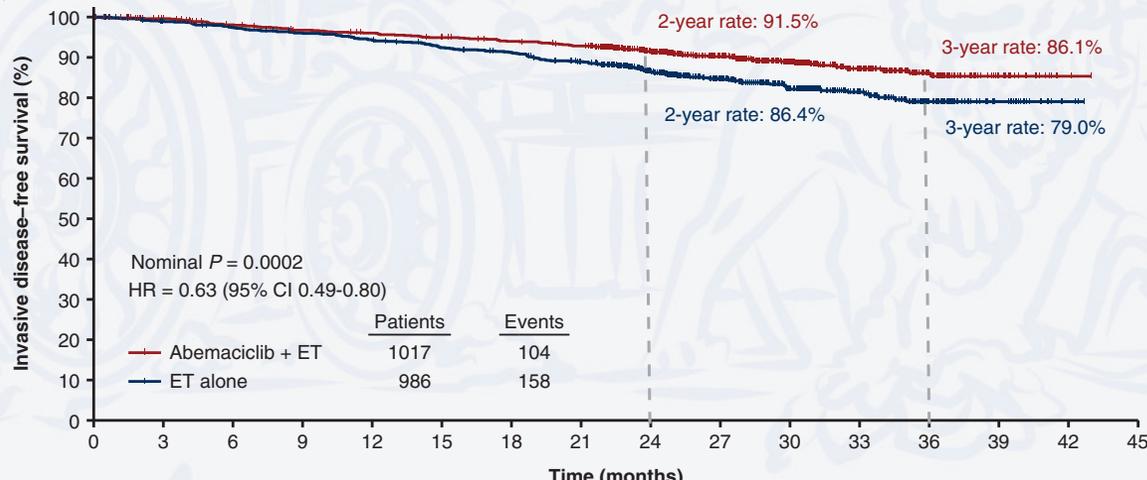


IDFS in ITT Ki-67-high and cohort 1 Ki-67-high populations

IDFS in ITT Ki-67-high



IDFS in cohort 1 Ki-67-high

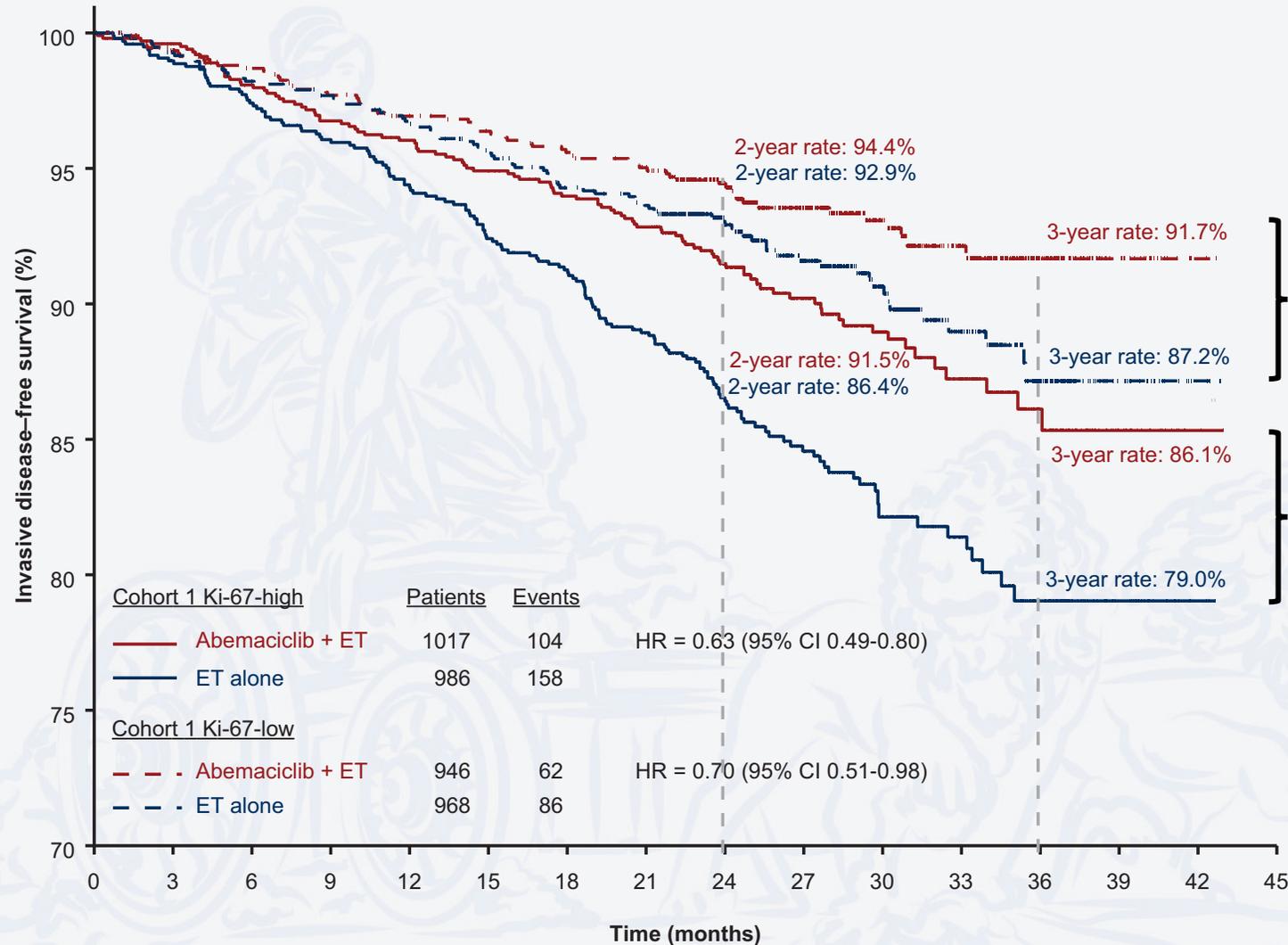


Secondary Objectives

IDFS Ki 67 $\geq 20\%$

IDFS Ki 67 $\geq 20\%$

IDFS in Cohort 1 Ki-67 high versus Ki-67 low



Cohort 1 Ki-67-high	Patients	Events	HR = 0.63 (95% CI 0.49-0.80)
Abemaciclib + ET	1017	104	
ET alone	986	158	
Cohort 1 Ki-67-low	Patients	Events	HR = 0.70 (95% CI 0.51-0.98)
Abemaciclib + ET	946	62	
ET alone	968	86	

4,5% HR 0.7

7,1% HR 0.63

Ki-67 is prognostic but does not predict benefit for abemaciclib

Exploratory Objectives

IDFS Ki 67 <20%

IDFS Ki 67 ≥20%

OS Interim Analysis (ITT population, Ki67 ≥20% populations)

Efficacy Parameter	ITT		Ki-67 ≥ 20% (cohort 1 + 2)		FDA-Approved Population: Ki-67 ≥ 20% (cohort 1)	
	Abemaciclib + ET (n = 2,808)	ET (n = 2,829)	Abemaciclib + ET (n = 1,262)	ET (n = 1,236)	Abemaciclib + ET (n = 1,017)	ET (n = 986)
IDFS events, No. (%)	232 (8)	333 (12)	118 (9)	172 (14)	104 (10)	158 (16)
HR (95% CI)	0.696 (0.588 to 0.823)		0.663 (0.524 to 0.839)		0.626 (0.488 to 0.803)	
P	< .0001 ^b		.0006 ^b		.0002 ^b	
OS events, No. (%)	96 (3)	90 (3)	48 (4)	55 (4)	42 (4)	53 (5)
HR (95% CI)	1.091 (0.818 to 1.455)		0.851 (0.577 to 1.255)		0.767 (0.511 to 1.152)	



The immature OS analysis showed a nonsignificant HR > 1 showing a **potential detriment with abemaciclib plus ET in the ITT population.**



The point estimate numerically favors the abemaciclib plus ET arm (HR 0.767; 95% CI, 0.511 to 1.512) and **do not indicate a detrimental effect of treatment with adjuvant abemaciclib plus ET.**

FDA approves abemaciclib with endocrine therapy for early breast cancer

On October 12, 2021, the Food and Drug Administration approved abemaciclib (Verzenio, Eli Lilly and Company) with endocrine therapy (tamoxifen or an aromatase inhibitor) for **adjuvant treatment of adult patients with hormone receptor (HR)-positive, human epidermal growth factor receptor 2 (HER2)-negative, node-positive, early breast cancer at high risk of recurrence and a Ki-67 score $\geq 20\%$, as determined by an FDA approved test.** This is the first CDK 4/6 inhibitor approved for adjuvant treatment of breast cancer.

FDA also approved the **Ki-67 IHC MIB-1 pharmDx (Dako Omnis) assay**, submitted by Agilent, Inc., as a **companion diagnostic** for selecting patients for this indication.

monarchE: Conclusions

- **Abemaciclib + adjuvant ET** demonstrated clinically meaningful **improvement in IDFS & DRFS** in HR+/HER2-eBC



- Benefit is maintained beyond 2-year treatment period
- Safety was consistent with profile of abemaciclib
- Ki-67 index was prognostic

Why PALLAS and monarchE were different?

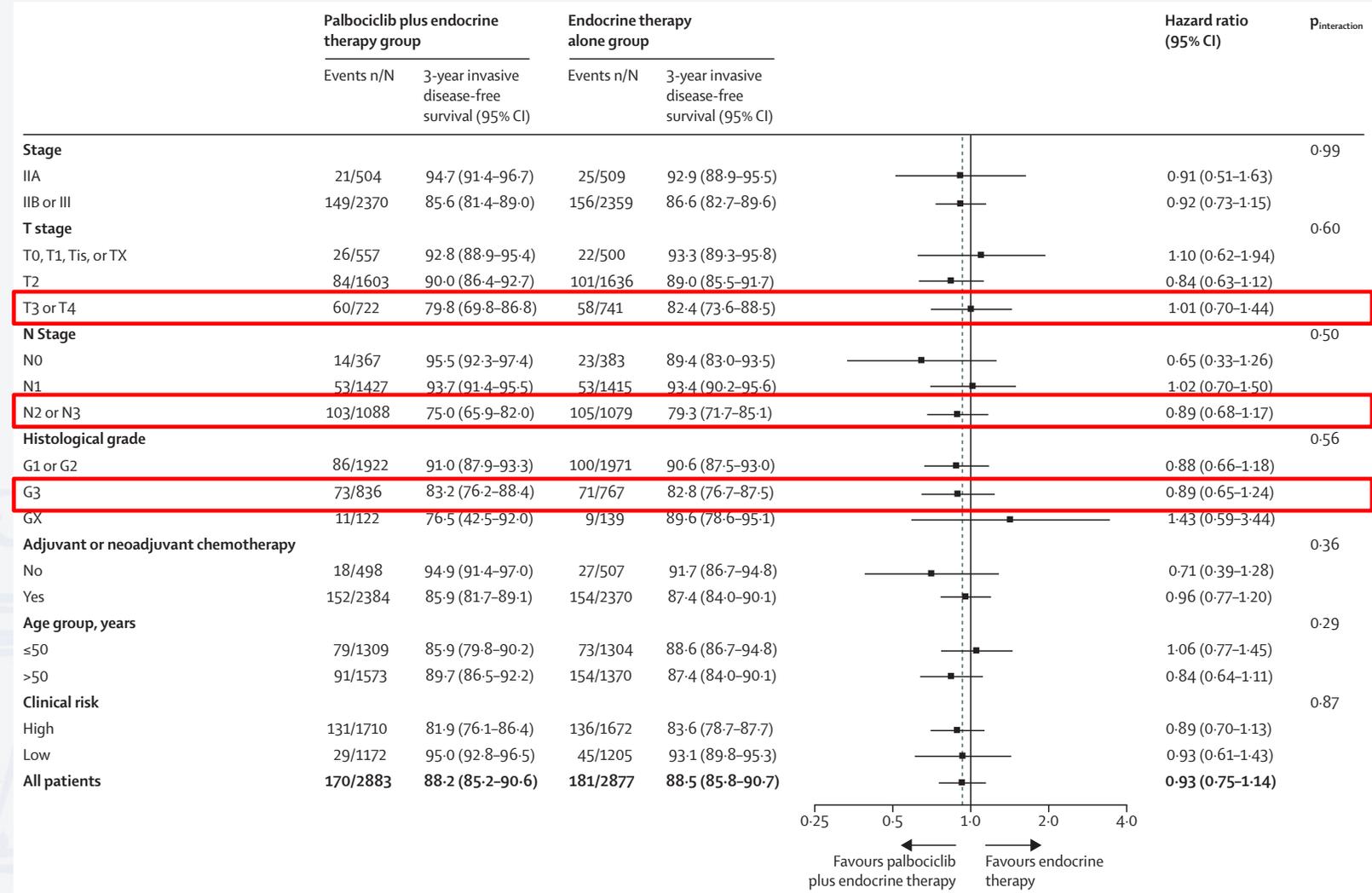
- **Different Risk of Recurrence**
- **Different Discontinuation rate**
- **Different mechanism of action**
 - Kinase inhibitory activity
 - Effect on cell-cycle
 - Schedule

Different Risks of Recurrence

	PALLAS	MONARCH-E
T \geq 3	25%	21.6%
\geq 4 nodes	37.8%	59.9%
Grade 3	29%	38.7%
Ki 67 \geq 20	N/A	44.9%

58.7% had high clinical risk disease in PALLAS trial:

- \geq 4 nodes involved (\geq N2) or
- 1-3 nodes with either T3-T4 and/or G3 disease



Different Discontinuation Rate

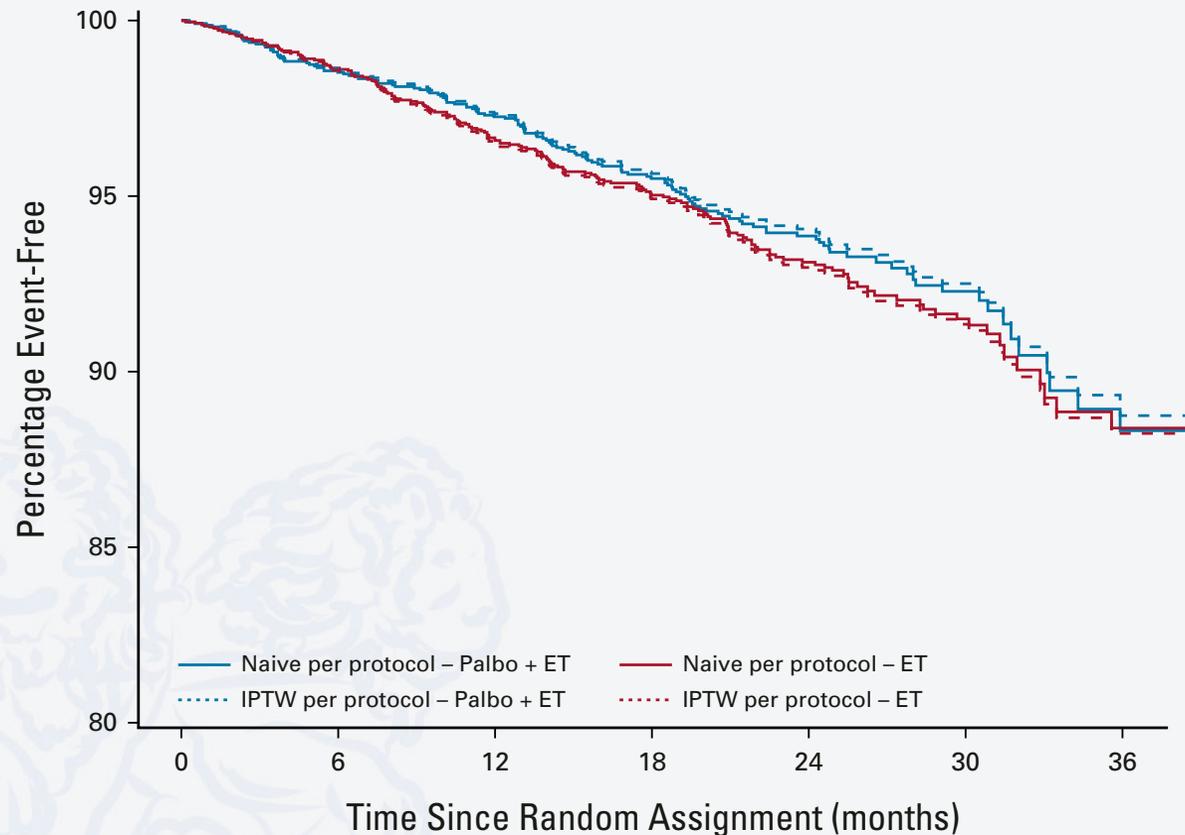
PALLAS Trial

42.2% treatment discontinuation

Monarch-E

16% treatment discontinuation

iDFS in palbociclib + ET versus ET alone in adherent patients



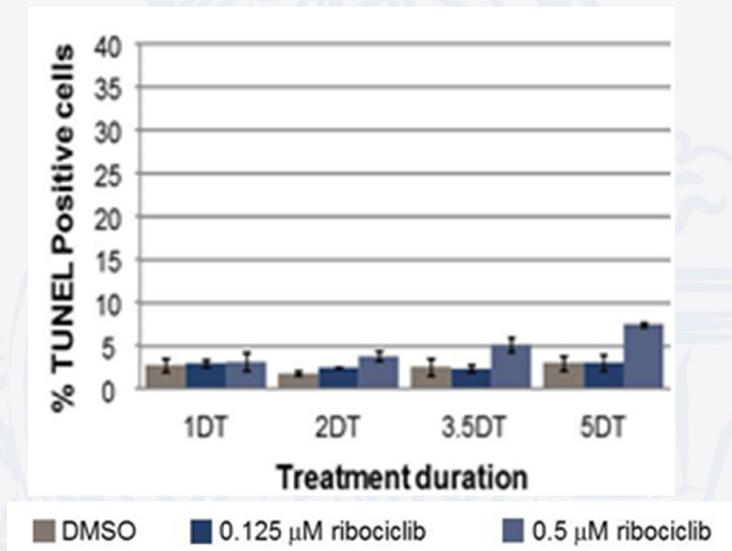
No. at risk:	0	6	12	18	24	30	36
Naive – Palbo + ET	2,237	2,193	2,130	1,614	1,040	472	134
Naive – ET	2,799	2,606	2,498	1,926	1,261	568	169
IPTW – Palbo + ET	2,237	2,195	2,132	1,619	1,045	474	136
IPTW – ET	2,798	2,603	2,494	1,918	1,252	563	166

Different mechanisms of action

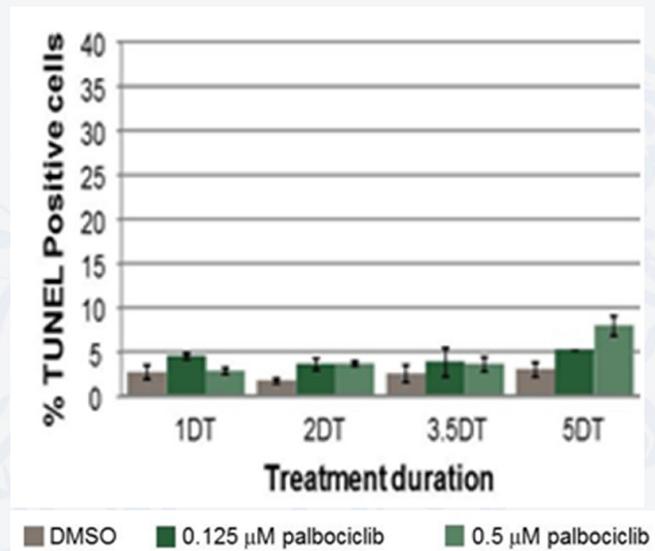
- Abemaciclib studies report high IC50s: 2 nM for CDK4 and 10 nM for CDK6.
- Continuous inhibition yields cell arrest
- Induction of senescence and apoptosis

Fragmentation of DNA ends and senescence assessed using TUNEL staining

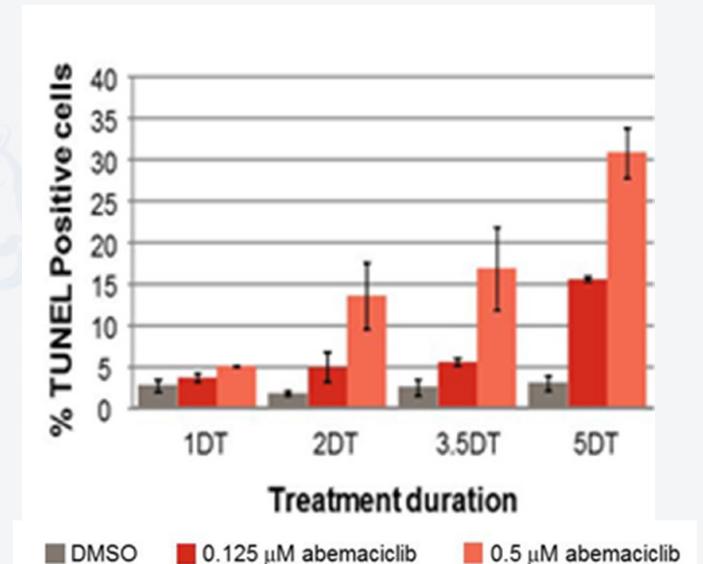
Ribociclib



Palbociclib



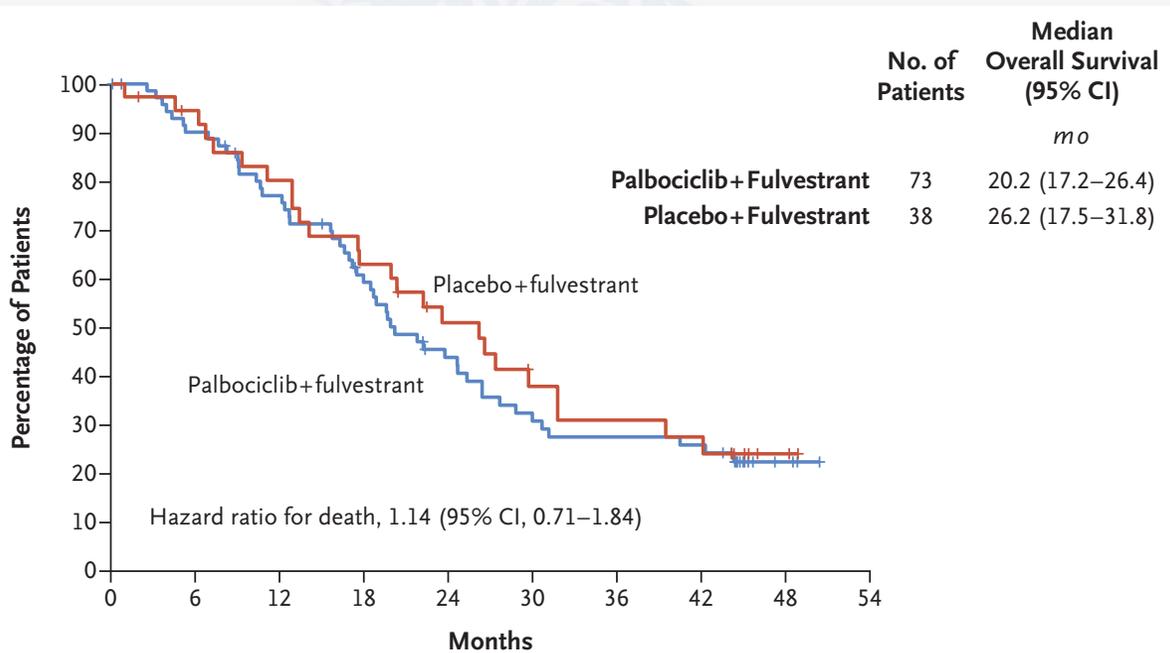
Abemaciclib



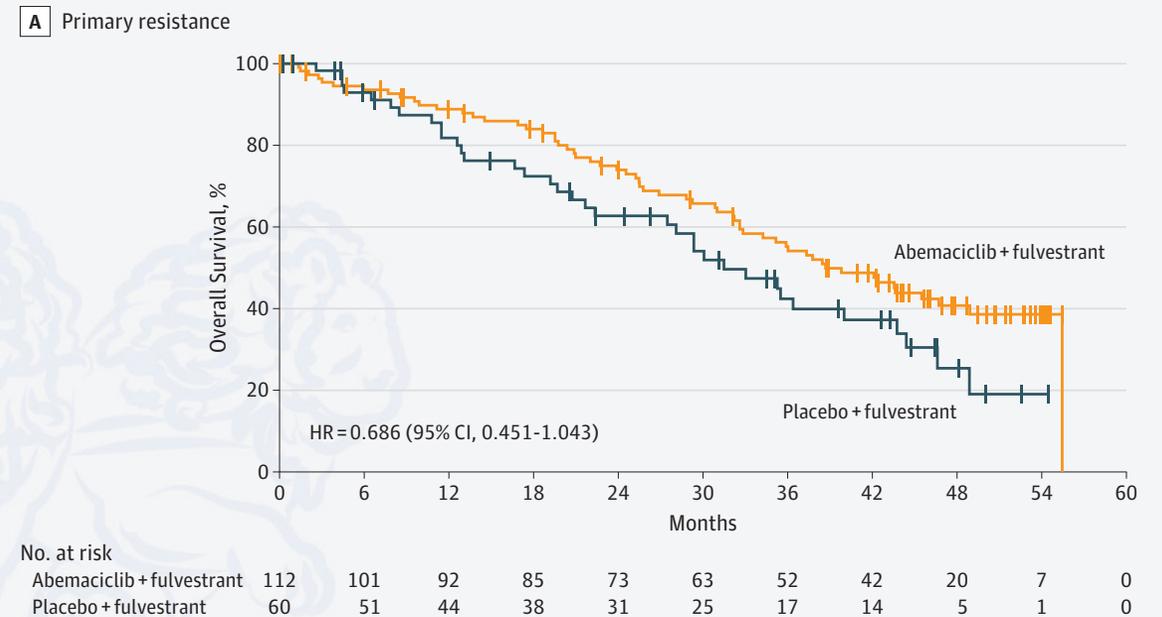
Different mechanisms of action

iCDKs + Fulvestrant in Endocrine Resistant ER+ HER2 – Breast Cancer

PALOMA 3: OS in patients without sensitivity to previous endocrine therapy



MONARCH 2: OS in patients with primary endocrine resistance



Unanswered questions about iCDKs in EBC

- Who needs adjuvant iCDK 4/6 therapy?
 - Better biomarkers apart from stage
- What is the long-term impact from the adjuvant use of iCDK4/6?
 - Abemaciclib prevents early recurrences (Endocrine resistant disease)
 - Effect in late recurrences (endocrine sensitive) and OS is unknown
 - Longer follow-up is needed
- What is the optimal duration of adjuvant iCDKs?
- Is there any benefit in intermediate risk tumors?
- Role of iCDKs in neoadjuvant setting
- Compliance and safety in real world practice